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# ANR COMPASS

## SCIENCE REQUIREMENTS REPORT

Issue 2.1

Date 2014-01-20

Prepared by Mathieu Puech

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Approved by Damien Gratadour

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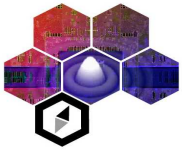
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### Contributors:

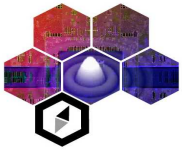
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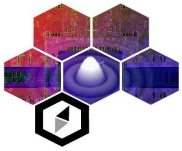
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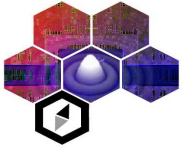


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## 1. INTRODUCTION

### 1.1 Summary of the COMPASS project

The European Southern Observatory is leading the design phases for the European-Extremely Large Telescope (E-ELT), a 39m diameter telescope, to provide Europe with the biggest eye on the universe ever built, with a first light foreseen in 2022. The E-ELT will be the first telescope that will entirely depend, for routine operations, on adaptive optics (AO), an instrumental technique for the correction of dynamically evolving aberrations in an optical system, used on astronomical telescopes to compensate, in real-time, for the effect of atmospheric turbulence. The two first light instruments: ELT-CAM (a wide-field imager) and ELT-IFU (an integral field spectrograph) are both designed to be coupled to AO modules. The PHASE partnership, gathering most of French AO community is one of the core contributors to the AO modules, being strongly involved in both consortia selected to lead the final design studies for the two first light instruments. The proposed COMputing Platform for Adaptive optics SystemS (COMPASS) shall provide the PHASE community with powerful means to lead the development of both these AO modules as the final design phases should begin in 2012. Based on a total integration of software with hardware and relying on a high performance heterogeneous architecture, the COMPASS platform will be used to perform end-to-end simulations of the AO system behavior and performance as well as to design and test new concepts for the Real-Time Computer (RTC), a core component of any AO system. It will also provide critical decision tools for optimizing the opto-mechanical design of the instruments that will be developed for the E-ELT. The simulation of an AO system involves multiple physics from atmospheric turbulence models to tomographic reconstruction to control theory. Moreover, full length E-ELT simulations are compute-intensive applications and as such good candidates for considering the use hardware accelerators like manycore processors. Among those accelerators, the CUDA hardware was designed to provide graphics processors (GPUs) equipped with HPC-compatible features. The proposed platform will rely on a scalable heterogeneous architecture, based on GPUs as accelerators and using commodity components, able to provide sufficient computing power at a reasonable cost. The main objective of the COMPASS project is to provide a full scale end-to-end AO development platform to the PHASE community, able to address the E-ELT scale and including a real-time core that can be directly integrated on a real system. Additionally, one of the key topics of this project is the development of a prototype for a high speed, low latency, image acquisition and processing system dedicated to AO systems and fully integrated in the simulation framework. The goal of the COMPASS project is to lead developments along four main axis: AO modeling, real-time control for AO, low-latency image acquisition and E-ELT instruments design. While these developments are mainly driven by the E-ELT instrumentation needs, it could have other applications like the real time processing of image streams for detection, recognition and identification in the surveillance and decision-help contexts as in defense, industry, security or medical surgery. This project will federate



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the efforts of various teams with complementary expertise from high performance computing to adaptive optics systems to astrophysics around a high performance development platform. Spin-offs in each of these domains are expected from such a multi-disciplinary collaboration.

## **1.2 Scope of the document**

This report presents the top level requirements for the COMPASS end-to-end instrument simulator(s) to be developed in the frame of the project. These simulators will be used to assist science and technical trade-offs during the development of the E-ELT instruments. Such simulations are essential to a scientific assessment of the abilities of the instrument concepts to meet the requirements derived from the science cases and, therefore, their ability to carry out the science cases. They also will be extensively used to estimate the expected performances of the different E-ELT instrument concepts.

## **1.3 Methodology**

The Science Requirements were captured by the COMPASS Science Working Group [SWG] through a series a telecon that took place on April 16, May 14, and June 14, 2013. Its first work was to collect all science cases for which instrument simulations were to be expected over the next few years in the frame of the development of the E-ELT instruments within the COMPASS partners, and then define for each science case the associated input parameters, astrophysical templates, and expected outputs. To ease the process, a simulation sheet collecting all this information was established (see Annex A). Each member of the SWG circulated this template in the respective labs to ask experts in the different science cases potentially interested in getting E-ELT instrument simulations to fill it out. These simulation sheets were then collected and discussed to finally extract the science requirements for the instrument simulator(s), which are summarized below. As a second step, these requirements were used to define the interfaces between the instrument and AO simulation codes.

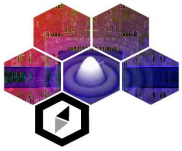
## **2. E-ELT Science cases to be simulated**

This section collects the simulation sheets filled out by experts within the different COMPASS laboratories. Each section corresponds to a single sheet which synthesises a Science Case that will require specific instrument simulations. The corresponding sheet can be found in Annex A. Science cases are presented in random order.

### **2.1 List of Science Cases**

#### **2.1.1 The mass assembly of galaxies out to $z \sim 5.6$**

See Annex A.



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### **2.1.2 High resolution imaging of distant galaxies**

See Annex A.

### **2.1.3 Probing the epoch of reionisation – spectroscopy of the « first light objects »**

See Annex A.

### **2.1.4 Mass Assembly and internal structures in $z>1$ galaxies**

See Annex A.

### **2.1.5 Spectral characterization of giant exoplanets from direct imaging**

See Annex A.

### **2.1.6 The formation of massive stars**

See Annex A.

### **2.1.7 Exoplanets direct imaging**

See Annex A.

### **2.1.8 Study of the merger-starburst-agn connection in low-z galaxies**

See Annex A.

### **2.1.9 Galactic Center in SCAO mode**

See Annex A.

### **2.1.10 The close environment of evolved stars**

See Annex A.

### **2.1.11 Characterization of exoplanets from Jupiter down to earth-masses.**

See Annex A.

### **2.1.12 High resolution imaging of minor planets and satellites.**

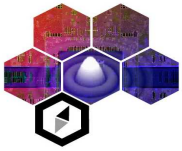
See Annex A.

### **2.1.13 High signal to noise spectra of unresolved minor planets and satellites.**

See Annex A.

### **2.1.14 High signal to noise IFU spectra of resolved minor planets and satellites.**

See Annex A.



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## 2.2 Comparison with the E-ELT Design Reference Mission

« The E-ELT Design Reference Mission (DRM) encompassed a detailed, hands-on exploration of a selected sample of science cases through the analysis of simulated E-ELT data. The purpose of this exercise was (i) to provide a quantitative assessment of the extent to which the E-ELT will be capable of addressing key scientific questions, (ii) to assist the project in making critical trade-off decisions by quantifying their consequences in terms of scientific gains and losses, and (iii) to support the development of the E-ELT Science Case. The overarching aim of the DRM was to help ensure that the E-ELT will be aligned with the scientific aspirations of its community as much as possible.

In summary, the DRM simulations have provided quantitative evidence of the transformational nature of the science programme envisioned for the E-ELT: the E-ELT will undoubtedly revolutionise several fields of astrophysics. However, the simulations have also demonstrated that a fair fraction of this programme lies at the edge of feasibility, leading to the conclusion that much of the science encapsulated by the DRM will indeed require a 40m-class telescope. In addition, the simulations have verified that the site chosen for the E-ELT conforms to the science plans, and that almost none of the capabilities required by these science cases are missing from current adaptive optics and instrumentation plans. » (from <http://www.eso.org/sci/facilities/eelt/science/drm/>).

The complete and final DRM report can be found at :

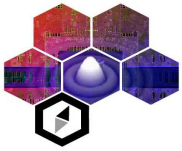
[www.eso.org/sci/facilities/eelt/science/drm/drm\\_report.pdf](http://www.eso.org/sci/facilities/eelt/science/drm/drm_report.pdf)

The DRM cases provide us with an ideal benchmark for evaluating the completeness and representativeness of the science cases and whether important omissions were done during the collecting process. Table 1 compares the DRM simulated science cases with those requested within COMPASS. Most of prominent E-ELT science cases are at least partly covered by the simulations requested within COMPASS. Only two science cases related to galaxies and cosmology are not covered at all :

- [Is the low-density intergalactic medium metal enriched?](#)
- [A dynamical measurement of the expansion history of the Universe](#)

The first omitted science case will require high resolution spectroscopy ( $R \sim 50,000$ ), while the second one will require ultra-stable spectroscopy at a level of  $\sim 3.5$  cm/s over  $\sim 15$  years. These two requirements represent specific values of technical requirements (high spectral resolution and stability) that are already included in the other science cases. This comparison therefore indicates that the resulting science requirements will not omit any crucial capability necessary to the E-ELT instrument simulations.

|  | Science case | Related COMPASS SCs |
|--|--------------|---------------------|
|--|--------------|---------------------|



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|                      |                                                                                                 |                                                                                                                                                                                                                                     |
|----------------------|-------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Planets & Stars      | <a href="#">From giant to terrestrial exoplanets: detection, characterization and evolution</a> | Spectral characterization of giant exoplanets from direct imaging + Exoplanets direct imaging + Characterization of exoplanets from Jupiter down to earth-masses + imagin and high S/N spectroscopy of minor planets and satellites |
|                      | <a href="#">Circumstellar disks</a>                                                             | Characterization of exoplanets from Jupiter down to earth-masses                                                                                                                                                                    |
|                      | <a href="#">Young stellar clusters and the Initial Mass Function</a>                            | The formation of massive stars                                                                                                                                                                                                      |
| Stars & Galaxies     | <a href="#">Imaging and spectroscopy of resolved stellar populations in galaxies</a>            | The close environment of evolved stars + TBD                                                                                                                                                                                        |
|                      | <a href="#">Black holes and AGN</a>                                                             | Study of the merger-starburst-agn connection in low-z galaxies + Galactic Center in SCAO mode                                                                                                                                       |
| Galaxies & Cosmology | <a href="#">The physics of high redshift galaxies</a>                                           | The mass assembly of galaxies out to $z \sim 5.6$ + Mass Assembly and internal structures in $z > 1$ galaxies + High resolution imaging of distant galaxies                                                                         |
|                      | <a href="#">First light – the highest redshift galaxies</a>                                     | Probing the epoch of reionisation – spectroscopy of the « first light objects »                                                                                                                                                     |
|                      | <a href="#">Is the low-density intergalactic medium metal enriched?</a>                         |                                                                                                                                                                                                                                     |
|                      | <a href="#">A dynamical measurement of the expansion history of the Universe</a>                |                                                                                                                                                                                                                                     |

Table 1 : Comparison between the E-ELT DRM and COMPASS science cases.

### 3. Defining the simulations

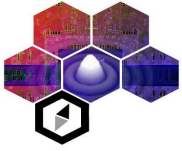
Table 2 lists the main characteristics of the simulations, which are detailed in the following sub-sections.

#### 3.1 Objectives

The different simulations can be classified according to their objectives and the kind of output data they need to provide. We identified three different but non-exclusive objectives :

- « ETC-like » : Simulations that consist in estimating the observational limits as a function of the properties of the astrophysical source, i.e., which aim at answering questions such as « what is the detection limit as a function of the





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size/magnitude of the source ? ». Such simulations can be considered as an improved Exposure Time Calculator [ETC], which takes into account second order effects such as variations in the flux profile or size of the source. In these simulations, the instrument parameters are typically fixed to a given or a set of value(s), while the properties of the astrophysical source (hereafter the « physical parameter space ») is explored ;

- « Design trade-off » : Simulations that directly constrain the instrument design. These are designed to assist trade-offs within the instrument parameter space, i.e., which aim at answering questions such as « what is the optimal spatial resolution for distinguishing a galaxy rotating disk from a merger ? ». In these simulations, the physical parameter space is fixed to a grid of values describing the range of expected observations, while the instrument parameter space is explored iteratively until a given scientific goal is reached ;
- « Analysis constraints » : Simulations that are designed to constrain analysis software measuring or estimating PSF-fitting photometry, astrometric solutions, etc. In these simulations, the physical and instrument parameter space are fixed to a grid of values, while the observational parameter space is explored to probe realistic conditions and provide test data for analysis software.

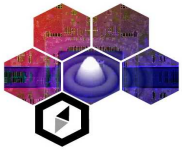
### **3.2 Simulation input/outputs**

All simulations start with high resolution data that describe the astrophysical source to be simulated and capture the relevant physics. These data are either (1) high resolution observations from current facilities, (2) high resolution hydrodynamical simulations, or (3) (high resolution) analytic models. Existing data were identified for all simulations. They involve a very large range of scale and flux, as well as morphology diversity (from planets to galaxies). The spatial/spectral resolution and noise properties of these data will have to be degraded to mimic expected outputs of future E-ELT observations.

We identified four kinds of different outputs to be produced in the simulations :

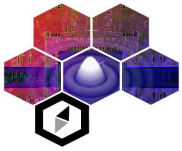
- 2D images in FITS format mimicking imaging data ;
- 1D spectrum mimicking slit spectroscopy or mono-aperture spectroscopy data ;
- 3D datacubes mimicking integral field spectroscopy data ;
- Polarimetric data.

Of note, polarimetric data are required only for the cases involving high dynamical contrast (e.g., exoplanet search). Simulations generally require long-term exposures with the exception of high-contrast data that will require modelling short-term PSFs as well as maps of the complete electromagnetic fields (amplitudes and phases).



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| Science Case                                                                    | Objective                       | Inputs                                                            | Outputs                         | Specific effects to be simulated                                                                                                                             | Limiting factor                          |
|---------------------------------------------------------------------------------|---------------------------------|-------------------------------------------------------------------|---------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------|
| The mass assembly of galaxies out to $z \sim 5.6$                               | ETC-like + Design trades-off    | High resolution observations + Hydro. Sims.                       | Datacubes                       | OH and telluric lines<br>AO average residuals                                                                                                                | Photon starved                           |
| High resolution imaging of distant galaxies                                     | Analysis Constraints            | High resolution observations + Hydro. Sims.                       | Images                          | PSF variation over the FoV                                                                                                                                   | Photon starved                           |
| Probing the epoch of reionisation – spectroscopy of the « first light objects » | ETC-like + Design trades-off    | High resolution observations + Hydro. Sims.                       | Datacubes + 1D spectra          | Sky background variations<br>AO average residuals                                                                                                            | Photon starved                           |
| Mass Assembly and internal structures in $z > 1$ galaxies                       | ETC-like + Design trades-off    | High resolution observations + Hydro. Sim. + Lens analytic models | Datacubes                       | OH and telluric lines                                                                                                                                        | High resolution sampling, photon-starved |
| Spectral characterization of giant exoplanets from direct imaging               | ETC-like                        | Analytic models                                                   | Datacubes                       | Aberrations<br>AO residuals<br>Coronagraphy                                                                                                                  | High Strehl, high contrast               |
| The formation of massive stars                                                  | ETC-like                        | Analytic models                                                   | Images + 1D spectra             | PSF spatial variations                                                                                                                                       | Photon-starved                           |
| Exoplanets direct imaging                                                       | ETC-like + Design trades-off    | Analytic models                                                   | Images                          | Field rotation + quasi-static aberrations + jitter + seeing temporal evolution + AO residuals + ADC chromatic residuals + Fresnel propagation + coronagraphy | High Strehl & Contrast                   |
| Study of the merger / starburst / agn connection in low- $z$ galaxies           | ETC-like + Analysis Constraints | High resolution observations                                      | Images + 1D spectra + Datacubes | PSF spatial variations                                                                                                                                       | High Strehl                              |



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|------------------------------------------------------------------|------------------------------|---------------------------------|----------------------------------|-------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------|
| Galactic Center in SCAO mode                                     | ETC-like                     | GR analytic simulations (GYOTO) | Images + 1D spectra              | Aberrations                                                                                                                         | High Strehl                                                     |
| The close environment of evolved stars                           | ETC-like + Design trades-off | 3D hydro models                 | Images + Datacubes               | Thermal emission up to Q                                                                                                            | High Strehl AO, high brightness objects (limited by saturation) |
| Characterization of exoplanets from Jupiter down to earth-masses | Design trades-off            | Analytic models                 | Images + Polarimetry + Datacubes | Quasi-static aberrations + Fresnel propagation + Chromatics aberrations (ADC residuals + DAR) + Polarimetric effects + coronagraphy | Extremely high Strehl and high contrast                         |

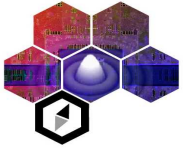
Table 2 : Summary of the science cases to be simulated and their main characteristics.

### 3.3 Defining the parameter spaces

#### 3.3.1 Physical parameter space

The properties describing the astrophysical sources vary as a function of the nature of the object :

- Galaxies are defined by their morpho-kinematic type (elliptical, spiral, merger, clumpy galaxy) or detection technique (LBG, LAE). High resolution templates describing the flux distribution and kinematics of these objects will have to be rescaled in terms of size, flux, and velocity gradient as a function of mass and redshift ;
- Stars are defined by their spectral type (OBAFGKM). Spectral or color templates will have to be rescaled in terms of flux. For the brightest and nearest stars, the size of the envelope will be an additional parameter. Orbiting trajectories around central black holes will have to be simulated for the galactic center case using the GYOTO code ;
- Planetes are defined by their rocky/gaseous state. Spectral template will have to be rescaled in terms of flux. The separation from the parent star will be an additional parameter ;
- Small bodies in the solar system will be defined according to their size, shape, spin, and color. High resolution of Pluto and template spectra will be used and rescaled in flux and size ;
- Stellar cluster are defined by the type (density). Analytic profiles (King) will have to be generated and rescaled to different fluxes.



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### 3.3.2 Instrument parameter space

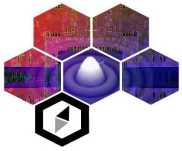
The instrument parameter space can be splitted into the parameters describing the telescope and those describing the instrument, including the AO system. Table 3 summarizes the parameters related to the instrument with the requested range of variation.

| Parameter              | Range/Units                                                                                   | Comments                                                                                                                                             |
|------------------------|-----------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------|
| Spectral resolution    | 50-20,000                                                                                     | R                                                                                                                                                    |
| Spectral sampling rate | 2                                                                                             | Nyquist-Shannon                                                                                                                                      |
| Spatial sampling       | 2-900 mas                                                                                     | Rectangular                                                                                                                                          |
| $\Delta\lambda$        | I-Q                                                                                           | <u>Spectroscopy</u> : +/- 5 nm range around the emission line<br><u>Imaging</u> : Broad-band and narrow-band filters + OH suppression + coronagraphy |
| Transmission           | 30 %                                                                                          | Atmosphere + Telescope + Instrument                                                                                                                  |
| FoV                    | Several 2x2 arcsec <sup>2</sup> areas within a ~ 7 arcmin patrol field (MOAO)                 | FoV to be simulated and corrected by AO – need for average performance only                                                                          |
|                        | Several 2x2 arcsec <sup>2</sup> areas within a ~ 1-5 arcmin fully corrected field (GLAO/MCAO) | Need variation of the AO correction over the FoV                                                                                                     |
|                        | Single 1 to 100 arcsec diameter FoV with AO correction (SCAO/LTAO)                            |                                                                                                                                                      |
|                        | Single 1x1 arcmin <sup>2</sup> area with AO correction (MCAO)                                 | Need variation of the AO correction over the FoV                                                                                                     |
| AO Correction          | EE=30 % within 80x80 mas <sup>2</sup> in H<br>SR=20-70 % in H-K (GLAO/MCAO/XAO)               | Spec. given at $\lambda_{\text{spec}}$<br>Imaging driven by SR, spectro. by EE                                                                       |

Table 3 : Summary of the main instrument parameter space needed for all the requested simulations.

It can be seen from Table 3 that all sort of AO systems will need to be simulated :

- single FoV with size of a few arcsec : SCAO or LTAO depending on sky coverage
- several patches of a few arcsec within a larger field of ~1 arcmin : MCAO



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- several patches of a few arcsec within a much larger field of a few (>5) arcmin : MOAO
- single FoV fo a few arcsec with extremely high Strehl Ratio : XAO

Regarding the modeling of the instrument, two kinds of simulations will be required :

- Simulations for which all the light propagation can be captured into a single PSF, i.e., those for which the propagation of light does not need to be simulated in detail. However, the level of detail in the PSF modelization vary between the science cases, as shown in Table 2. In all these cases the instrument simulation can rely on very a simple « integrated » model, i.e.,  $observations = template * PSF + noise + systematics$  ;
- Simulations involving high contrast techniques, for which modeling the exact propagation of light between all optical surfaces is essential (e.g., Fresnel effects due to out-of-focus optics). These simulations cannot be simulated using the above « integrated » model.

All simulations require relatively long timescales (long-term exposures) with the exception of simulations involving high dynamical contrast and observations of small bodies in the solar systems in which the motion of the source provides the dominant timescale in the simulations.

### 3.3.3 Observational parameter space

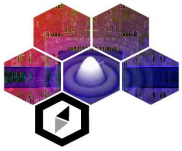
The observational parameter space describes the observing conditions (sky, exposure time). Typical exposures will have individual integration times ranging between 1 to 3600s (DIT), with a number of frames ranging between 1 and 100 (NDIT). It is required to simulate a complete background (sky including continuum, OH, and telluric lines and thermal contribution from the telescope and instrument) as well as its temporal and spatial variations. It will be also necessary to simulate the effect of the Differential Atmospheric Refraction.

Residual atmospheric turbulence not corrected by the AO systems will have to be included into the PSFs, as well as temporal, chromatic, and spatial variations when needed.

### 3.4 Additional constraints from the COMPASS project

In addition to the scientific drivers listed above, the COMPASS project aims at offering instrument simulations as a full public facility. This implies the following additional requirements on the instrument simulator(s) :

- an user-friendly interface to minimize the time needed to access and run simulations ;
- the computers hosting the simulation codes will have to meet usual security network



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requirements ;

- simulations will have to be completed within a reasonable amount of time (i.e., no more than a few hours).

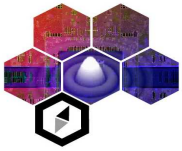
### 3.5 Summary of the Top-Level Requirement for the COMPASS instrument simulator

| TLR  | Name              | Description                                                                                    | Comments                                                                  |
|------|-------------------|------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| TLR1 | Objectives        | Should allow us to fulfill the three objectives defined in Sect. 3.1                           | ETC-like<br>Design trade-off<br>Analysis constraints                      |
| TLR2 | Inputs            | Should allow us to produce astrophysical sources (see in Tab. 1) to pass through the simulator | From planets to clusters of galaxies                                      |
| TLR3 | Outputs           | Should allow us to provide the outputs listed in Sect. 3.2                                     | Images or spectra in 2D or 3D FITS files ; full E.M. Field in FITS files. |
| TLR4 | Level of accuracy | Should allow us to include all effects listed in Tab. 2                                        | See column 5 of Tab. 1.<br>Driven by TLR1                                 |
| TLR5 | Parameter space   | Should allow us to explore all the parameters listed in Tab. 3 & Sect. 3.3                     | Driven by TLR1                                                            |
| TLR6 | Usability         | Should be user-friendly                                                                        |                                                                           |
| TLR7 | Security          | Should follow all usual security network requirements                                          |                                                                           |
| TLR8 | Time              | Should allow us to conduct simulations within a few hours maximum                              |                                                                           |

Table 4 : summary of the COMPASS instrument simulator TLRs

## 4. Architecture of the instrument simulation code(s)

The above requirements suggest that two instrument simulation codes will be needed to fulfill all the needs. On the one hand, apart simulations involving high dynamical contrast techniques, all the requirements should be met by upgrading the « websim » instrument simulator developed at GEPI, which is fully described in Puech et al. (2010), Proc. SPIE



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7735, 183 (and references therein). Of note, it is unlikely that full end-to-end simulations for the high dynamic cases will be achieved within the lifetime of the COMPASS project, given the much higher level of complexity and refinement needed. Efforts will have to be concentrated on essential parts of the XAO simulation code still missing, as well as to the interface between this code and the end-to-end instrument simulation code developed in the frame of the SPHERE project. Therefore, in the following, high contrast simulations will be considered as a driver for the AO simulation code only and not for the instrument simulator.

#### **4.1 Architecture of the COMPASS instrument simulation code**

Figure 1 illustrates the proposed architecture for the COMPASS simulator, which is based on the one used for websim.

Access to the simulator, which is hosted on a secured server, is password-protected using a SQL database, which lists all registered users (i.e., the member of the science team). To limit human interactions, the user should have the possibility to automatically register into the database through a registration form (not currently implemented in websim). Security considerations (TLR7) will probably require to set up a checking procedure before allowing the user to log in and run simulations. The user database will contain all the relevant information about the user (username, email, default choices). The user should keep the possibility the modify this information, which will require a profile managing system.

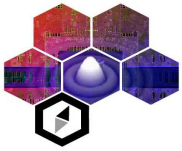
The user then access a web form, which allow defining a simulation (see Fig. 2). Once the web form has been filled out, a request is sent to a science server which runs an IDL code corresponding to the core of the instrument simulator. The IDL language offers a very good compromise between simulation and developement speeds<sup>1</sup>. Products (FITS files) are sent back to the web server, from which they can be downloaded. The user then receives an email letting him/her know that the simulation is completed and results can be downloaded. The web server keeps the corresponding files during three days after which they are automatically removed, which implies that a limited space disk storage capacity is necessary (<1To). The websim science server is an 8-CPU architecture system, on which simulations take from a few minutes up to a few hours with the current IDL simulation code on a "1 simulation/1 CPU" basis. Multi-CPU processors are therefore well-suited to the computation power required for the COMPASS instrument simulations (TLR8).

This overall architecture allows us to meet both science requirements (TLR1-6) as well as security and time considerations (TLR7-8). It has already been tested, validated, and

---

1

Python would offer an attractive alternative with parallelization capabilities and open licenses. However the current version of the simulation code is written in IDL so keeping the same language will minimize development times and efforts, while providing still reasonable computation time, as described below.



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successfully used during the E-ELT instrument phase A studies and therefore offer a good level of readiness and a good starting point for the COMPASS project.

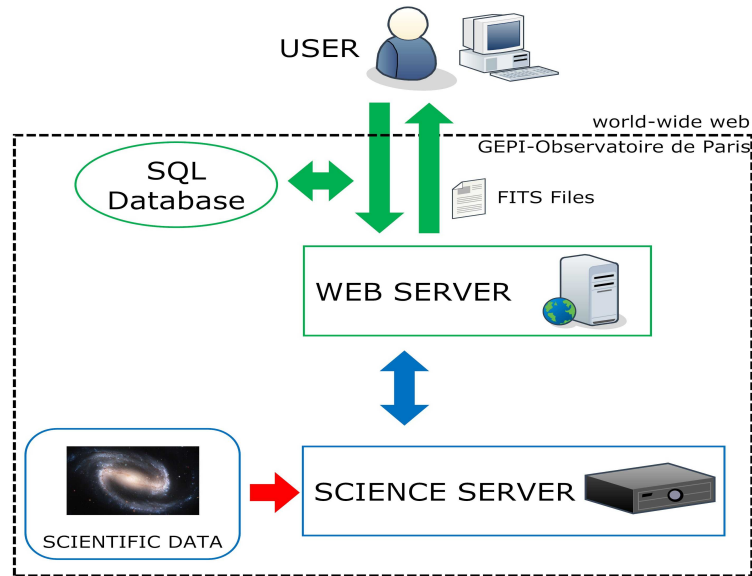
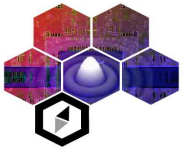


Figure 1 : overall proposed architecture of the instrument simulator.

Figure 2 illustrates the web interface that allows the user to set up a simulation. It is divided into the telescope instrument parameter space (not relevant here since the telescope design has now been fixed, at least for the parameters relevant for the COMPASS simulations), the instrument parameter space, the physical parameter space, and the observational parameter space. Compared to this interface, several improvements will have to be made to reach the COMPASS requirements :

- The present interface allows the user to run a single simulation at a time. It will be required to add a « batch » mode to allow the user to run a series of simulations and explore more efficiently the parameter spaces. This implies in turn an efficient task management to distribute the simulations amongst the different CPU cores, e.g., using the CONDOR library ;
- The different parameter spaces will have to be expended to accomodate all the needs described in Sect. 3. In particular, the range of astrophysical templates will have to be dramatically extended, including a generalized coupling between outputs of hydrodynamical codes and the simulator ;
- Additional effects will have to be implemented, tested, and added to already existing the IDL code (see Table 2). The main modules to be developped/improved are :
  - jittering, field rotation ;





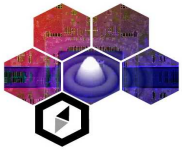
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- background model : telluric lines, sky spatial and temporel variations, diffuse light ;
- spatial and chromatic aberrations, corography, LSF ;
- PSF time and spatial variations according to relevent statistics.

Figure 2 : web interface of the EAGLE instrument concept simulator

## 4.2 Defining the interfaces between instrument and AO simulation codes

Compared to the phase A studies, the number of input templates and AO PSF will increase significantly (see Sect. 3). These data will have their own scientific attractivity *per se*, regardless of their use as inputs to the instrument simulator. Managing and offering a public access to all this information in an efficient way will require to set up three databases, which will host :



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- An extensive PSF library spanning a large range of AO systems and optical effects (as listed in Sect 3). This database will need to contain basic high-level information for each PSF, such as wavelength, Strehl Ratio, Ensquared Energy, N/LGS asterisms, AO system configurations (WFS type and characteristics, DM actuator density, control loop characteristics, etc., see below) ;
- An extensive library of high-resolution data describing the astrophysical sources to be used as high resolution templates for the instrument simulations. This database will have to list important information about each template, such as its nature (flux or velocity distribution), origine (instrument/telescope if observation, simulation parameters, etc., see below) ;
- A library of full Electro-Magnetic (E.M.) maps to be used for high dynamic contrast simulations. This database will store maps of amplitude, phase, and/or polarimetric values of the E.M. Field to be further coupled with coronagraphic PSFs.

The top-level requirements of these three databases are defined below in Sect. 4.4.

### 4.3 User scenarios and user mode

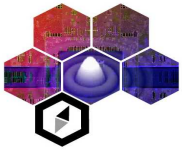
In Sect. 3.1, we have identified three different but non-exclusive scientific objectives for the COMPASS instrument simulator(s), which we summarize in Table 5.

| Scientific objective | Parameter space explored | Parameter space fixed       | Typical User    | User scenario                    |
|----------------------|--------------------------|-----------------------------|-----------------|----------------------------------|
| ETC-like             | Physical                 | Instrument<br>Observational | Astronomer      | Astro-user                       |
| Design trade-off     | Instrument               | Physical<br>Observational   | Instrumentalist | Expert-user<br>Super-expert-user |
| Analysis constraints | Observational            | Physical<br>Instrument      | Astronomer      | Astro-user                       |

Table 5 : Correspondance between the scientific objective of the simulator and the user scenarios

We also define in this Table three different user scenarios depending on which parameter space (see definition in Sect. 3.3) are to be explored :

- Astro-user : in this case the user is typically an astronomer (e.g., a member of an instrument science team) with no specific technical for whom the instrument is a « black box ». He/she will run simulations to explore the scientific performances of an instrument concept (« ELT-like » application) or to test/constrain analysis software on simulated data (« Analysis constraints » application) ;
- Expert-user : in this case, the user is typically an project/instrument scientist with explicit technical knowledge, who wants to explore the scientific impact of a given technical choice (e.g., spatial sampling). However, the user is not considered to be an AO expert, and he is assumed to be interested only in « typical » AO corrections,



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i.e., it is assumed that the expert-user will not be interested in exploring in detail the instrument parameter space associated to the AO system ;

- Super-expert user : in this last case, the user is assumed to have a very detailed technical knowledge about the instrument and the AO system (e.g., instrument scientist or system engineer). He/she will use the simulator to explore the performances of the AO system and assess the impact on the instrument performances/capabilities.

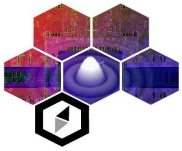
#### 4.3.1 Astro-user case

The astro-user case is further illustrated in Fig. 3. The expected sequential use of the simulator is the following :

1. The user's first choice consists in selecting an instrument concept with its associated AO mode (in addition to the baseline GLAO mode provided by the telescope) :
  - ELT-CAM+SCAO
  - ELT-CAM+MCAO
  - ELT-IFU+SCAO
  - ELT-IFU+LTAO
  - ELT-MOS+MOAO
  - ELT-PF+XAO

This choice fixes the instrument parameter space (including the AO system) to a set of pre-defined values. The user will still have the option to choose between different but limited options corresponding to typical choices or configurations of the system. For instance : pre-defined pixel scales or spectral resolutions ;

2. The user will be able to fully explore the astrophysical template database to pick up the source of interest. He will have the possibility to submit its own data (as FITS files) as an input template using a submission form, if not already present in the database. The submission form will collect information about the additional astrophysical scene such as the name, nature of the scene (e.g., galaxy, star, ...), basic properties (e.g., mass, spectral/morphological type,...), and a short description. A check of the file format and content will be required to ensure that the submitted data are suitable for use with the simulator. Human interventions should be minimized in this process. The user should keep the possibility of having a private access of these new data to avoid any proprietary conflict if required ;
3. The observational parameter space will also be fixed but the user will have the choice between typical values (e.g., sky background in dark or bright, conditions) ;
4. The exploration of the PSF database will be narrowed down to the systems



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associated to the instrument concept considered and to typical values, or, when the associated parameter space is too large, to typical choices. For instance : different seeing values (median, 16th and 84th percentiles) or in the SCAO mode, typical configuration choices such as distance and magnitude of the natural guide star.

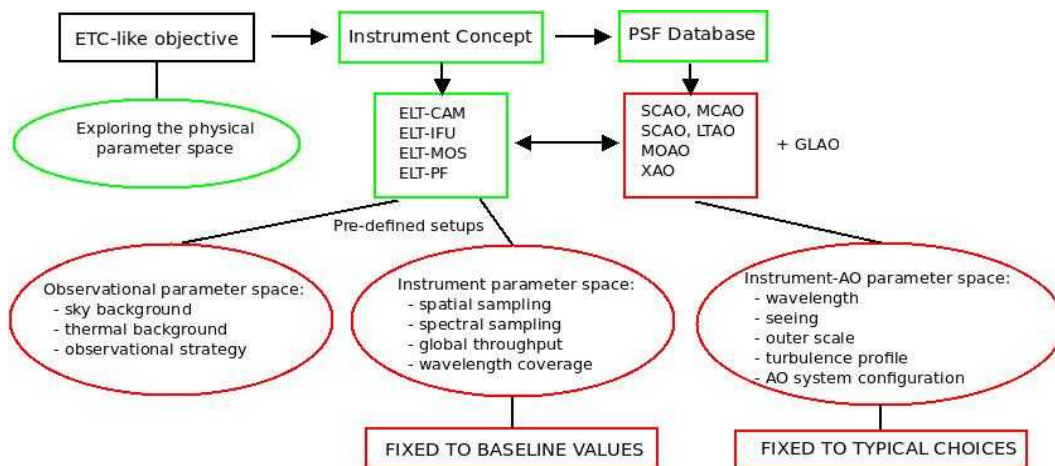


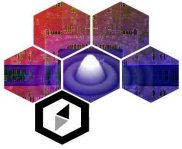
Figure 3 : illustration of the astro-user case exploring the physical parameter space.

The analysis constraints use will be similar to Fig. 3 except that the user will fix the physical parameter space and explore the observational parameter space to produce test data.

#### 4.3.2 Expert-user case

The expert-user case is further illustrated in Fig. 4. The expected sequential use of the simulator if the following :

1. The user's first is no longer an instrument concept but an instrument capability (imaging, spectroscopy, etc.) ;
2. The user chooses the values associated to the instrument parameter space corresponding to the selected instrument capability :
  - Imaging : FoV, pixel scale, wavelength coverage, global throughput, detector characteristics, etc. ;
  - Slit spectroscopy : FoV, slit width and length, spectral resolution, global throughput, etc. ;
  - 3D spectroscopy : FoV, IFU spaxel size, spectral resolution, global throughput, etc. ;
  - Complete Electro-Magnetic Field : spatial sampling of the amplitude, phase, and/or polarimetric maps, FoV, etc.



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- The PSF database is narrowed down to all choices compatible with the patrol FoV chosen during the previous step (e.g., MCAO will be excluded if the patrol field is required to be  $> 5$  arcmin).

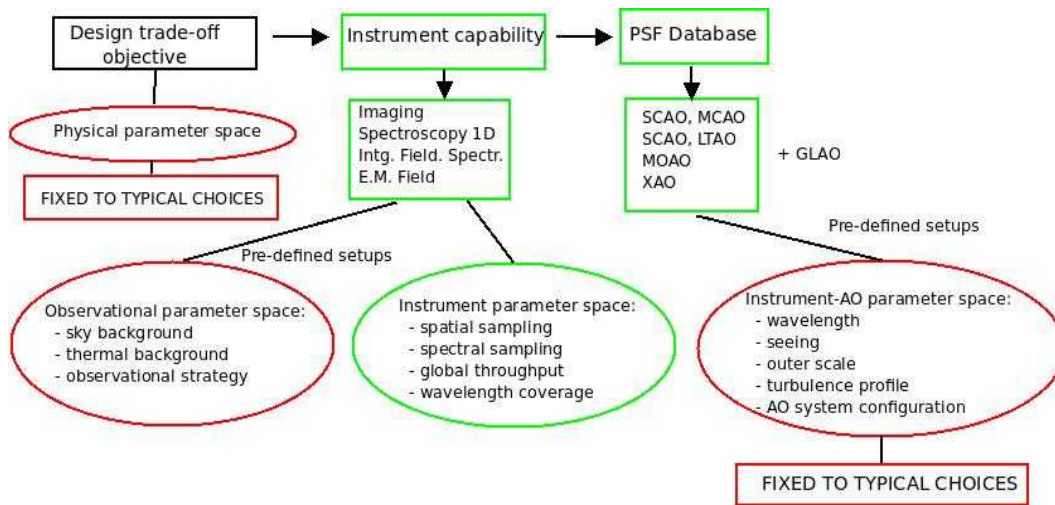


Figure 4 : illustration of the expert-user case exploring the instrument parameter space.

#### 4.3.3 Super-Expert-user case

The super-expert-user case is illustrated in Fig. 5. The expected sequential use of the simulator is expected to be the same as in the expert user case except that in this case, the user will have the possibility to fully explore the PSF database with no restrictions. In case there is no suitable PSF in the database, the user will have to be redirected towards the COMPASS AO simulator directly to ask for new PSFs.

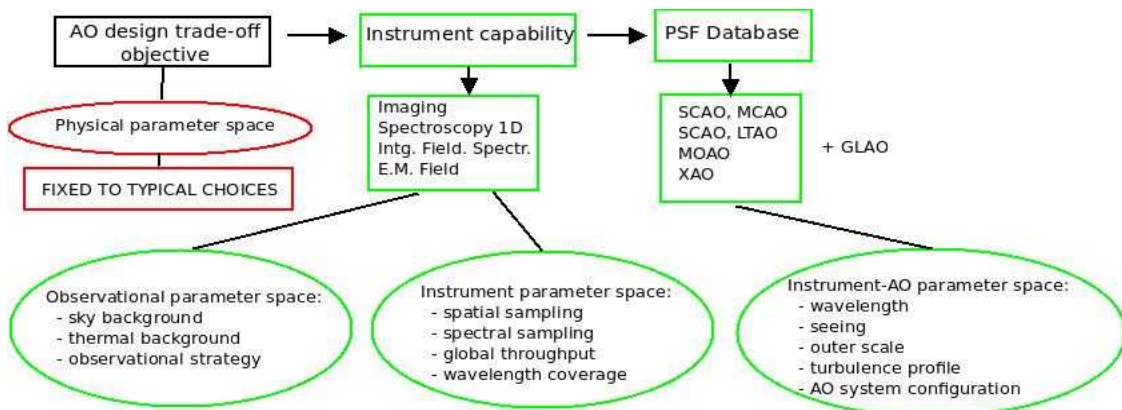
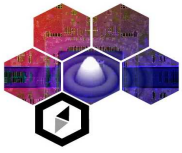


Figure 5 : illustration of the super-expert-user case exploring the instrument parameter space.



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#### 4.3.4 User mode

In order to fulfill TLRs 5 & 8, each user scenario will be associated to a user mode in which the user will have more or less limited options to set up a simulation :

- The astro-user scenario will be implemented as a « regular mode », which corresponds to the most limited user mode (see Fig. 3) ;
- The expert-user scenario will be implemented as an « expert mode », which will provide the user with an extensive but guided freedom in setting up the simulation parameters (see Fig. 4) ;
- The super-expert-user scenario will be implemented as a « full expert mode », which will give the user the ability to tune any parameter and request new PSFs (see Fig. 5).

The user should have the possibility to define a default user mode and switch between the different modes.

### 4.4 Top-level requirements of the interface databases

#### 4.4.1 TLRs of the PSF database

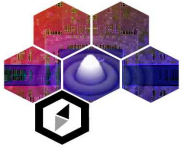
- Storage of all kind of PSF (short or long exposure PSFs, coronagraphic PSFs) in FITS format : 2D or 3D for time sequence PSFs (corresponding, e.g., to a time sequence seeing variation) ;
- Storage of scalars or vectors associated to each FITS file. These will describe to the parameters describing the AO simulation from which the PSF was generated (see Tab. 6) ;
- Exploring tools depending on the PSF characteristics:
  - narrowing down the PSF choice depending on the user case (i.e., AO system or PSF characteristics) ;
  - picking up a PSF with given EE or SR for a given AO mode.
- Communication tools with other PSF databases hosted on GPU servers : TBC.

#### 4.4.2 TLRs of the full EM database

This database will be explored in super-expert mode only. The database will store maps of amplitudes, phase, and/or polarimetric values in FITS format.

#### 4.4.3 TLRs of the high resolution astrophysical data database

- Storage of all kind of data in FITS format with associated ASCII high-level description ;



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- Browsing the database as a function of the astrophysical nature of the source ;
- Possibility to submit new data with associated description (name, type, properties, and short description, TBC) and automatic check of format compatibility ;
- Communication tools to download data from other databases (e.g., the GHASP database) : TBC.

## 5. Specifications of the AO simulation code

### 5.1 Definition of AO simulation inputs/outputs

The SWG has established the requirements for the simulation of the different AO modes, following the analysis of the science requirements conducted in Sect. 2 and Sect. 3. These requirements include (see Fig. 6) :

- The parameters needed as inputs to the AO simulations ;
- Their associated range of variation (when known) ;
- The definition of the outputs of the AO simulations.

### 5.2 Identification of missing modules

The AO requirements were then compared to the current state of the AO GPU simulation pipeline to establish the list of the missing modules and/or functionalities. These are listed in the last column of Fig. 6 (TBC, work in progress).

The missing modules identified are as follows :

AO.M1 : Wavefront sensing : pyramid sensor including phase maps ; Roof sensor ;

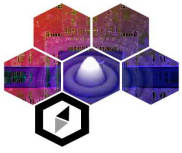
AO.M2 : Wavefront Reconstructors : Kalman, FRIM, CuRe, Learn&Apply ;

AO.M3 : Telescope model : vibrations, wind shake, static aberrations, segmentation & co-phasing errors, spiders, M4 model ;

AO.M4 : Coronagraphy modules ;

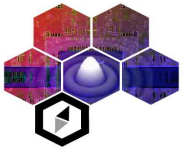
AO.M5 : Output modules : amplitudes, PSD, contrasts;

AO.M6 : Turbulence model (Air chromaticity and chromatic anisoplanatism).



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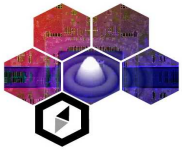


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Missing features in current version of COMPASS

Specifications of the AO simulator, according to each AO mode

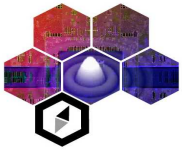
| INPUT PARAMETERS                                          | Comments                 | Parameter values        |                       |                         |                          |                                          |                                                      |
|-----------------------------------------------------------|--------------------------|-------------------------|-----------------------|-------------------------|--------------------------|------------------------------------------|------------------------------------------------------|
|                                                           |                          | SCAO                    | MOAO                  | LTAO                    | XAO                      | MCAO                                     |                                                      |
| <b>Turbulence</b>                                         |                          |                         |                       |                         |                          |                                          |                                                      |
| Type                                                      | Von Karman or Kolmogorov | Von Karman              | Von Karman            | Von Karman              | Von Karman               | Von Karman                               | Air chromaticity and chromatic anisoplanatism        |
| layer number, altitudes, fractions, speeds and directions |                          | ESO profile             | ESO profile           | ESO profile             | ESO profiles             | ESO profile                              |                                                      |
| seeing/r0 @ 0.5 μm                                        |                          | up to 1.5"              | up to 1.5"            | up to 1.5"              | 0.85" (tbc)              | 0.4" to 1.5"                             |                                                      |
| L0 @ 0.5 μm                                               |                          | TBD                     | varying               | TBD                     | 50-m (tbc)               | 20m - 50m (TBD)                          |                                                      |
| temporal sampling                                         |                          | TBD                     | ?                     | TBD                     |                          | 10m/s - 30m/s (TBD)                      |                                                      |
| <b>Telescope</b>                                          |                          |                         |                       |                         |                          |                                          |                                                      |
| diameter                                                  |                          | up to E-ELT diameter    | E-ELT                 | up to E-ELT diameter    | 37-m (tbc)               | E-ELT                                    |                                                      |
| central obscuration                                       |                          | up to E-ELT diameter    | E-ELT                 | up to E-ELT diameter    | 0.3 (tbc)                | E-ELT                                    |                                                      |
| pupil pattern                                             |                          | perfect & E-ELT pupil   | perfect & E-ELT pupil | perfect & E-ELT pupil   | perfect & E-ELT pupil    | perfect & E-ELT pupil                    | Missing E-ELT pupil (segmentation, cophasing errors) |
| <b>Science</b>                                            |                          |                         |                       |                         |                          |                                          |                                                      |
| wavelengths                                               |                          | 0.8 to 2.5 μm           | 0.8 to 2.5 μm         | 0.8 to 2.5 μm           | 0.5 to 1.6 microns (tbc) | 0.8 to 2.5 μm                            |                                                      |
| target number, positions                                  |                          | 1/up to 30" from centre | in the field          | 1/up to 30" from centre | 1 target at centre       | Grid of targets covering the field (TBD) |                                                      |



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**WFS**

|                                                                  |                          |                                            |                                     |              |                                     |                                                         |
|------------------------------------------------------------------|--------------------------|--------------------------------------------|-------------------------------------|--------------|-------------------------------------|---------------------------------------------------------|
| number                                                           | 1                        | up to 6 LGS & 6NGS                         | TBD (4 or 6 LGS - 2 NGS ?)          | 1 or 2 (tbc) | TBD (4 or 6 LGS - 3 NGS ?)          |                                                         |
| type                                                             | SH & pyramid             | SH                                         | SH & pyramid                        | Pyramid      | SH & pyramide                       | Pyramid model to be improved (work in progress at IPAG) |
| lambda                                                           | 0.4 to 2.5 $\mu\text{m}$ | LGS = 589nm, NGS visible & NIR             | LGS = 589nm, NGS visible & NIR      |              | LGS = 589nm, NGS visible & NIR      |                                                         |
| GS magnitude/laser power                                         | TBD                      | TBD, see ESO laser                         | TBD                                 |              | TBD                                 |                                                         |
| GS positions                                                     | centre                   | in the field                               | TBD                                 | centre       | TBD                                 |                                                         |
| GS altitude                                                      | $\infty$                 | LGS = 90km to 180km; NGS = infinity        | LGS = 90km to 180km; NGS = infinity | $\infty$     | LGS = 90km to 180km; NGS = infinity |                                                         |
| GS depth                                                         | 0                        | LGS 10-20km                                | LGS 10-20km                         | 0            | 10km                                |                                                         |
| throughput/zeropoint/lgs sretumperwatt                           | TBD                      | TBD                                        | TBD                                 | TBD          | TBD                                 |                                                         |
| RON                                                              | TBD                      | TBD                                        | TBD                                 | TBD          | Small e.g. <1e                      |                                                         |
| skymag                                                           | TBD                      | TBD                                        | TBD                                 | TBD          | TBD                                 |                                                         |
| WFS-specific parameters (e.g., SH subaperture number, size, etc) | TBD                      | pitch = 0.5m, FoV = 4" to 20"              | TBD                                 | TBD          | pitch = 0.5m ? FoV = 10" ??         |                                                         |
| thresholding parameters                                          | TBD                      | TBD                                        | TBD                                 | -            | TBD                                 |                                                         |
| integration time                                                 | TBD                      | LGS 1/frame_rate, NGS depends on magnitude | TBD                                 | 0.0003 (tbc) |                                     |                                                         |
| nintegcycles                                                     | TBD                      | ?                                          | TBD                                 |              | 1/frame_rate                        |                                                         |



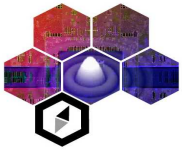
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**DM**

|                                                  |                          |              |                          |                      |                |                                                              |
|--------------------------------------------------|--------------------------|--------------|--------------------------|----------------------|----------------|--------------------------------------------------------------|
| number                                           | 1                        | 2            | 1                        | 2+TT                 | 3              |                                                              |
| type                                             | piezo-stack & M4-like DM | M4 + MOAO DM | piezo-stack & M4-like DM | piezo or electrostat | piezo ?        | Missing M4 model                                             |
| actuator pattern (square, hexagonal, other)      |                          | square, M4?  |                          | square               | TBD            |                                                              |
| altitude                                         | 0                        | 0 ou 400m?   | 0                        | 0                    |                | M4 surface conjugate through different MAORY like ? altitude |
| influence function building parameters or values | TBD                      | TBD          | TBD                      | TBD                  |                |                                                              |
| push4imat                                        | TBD                      | TBD          | TBD                      | TBD                  | TBD            |                                                              |
| unitpervolt                                      | TBD                      | TBD          | TBD                      | TBD                  | TBD            |                                                              |
| saturation voltage                               | TBD                      | TBD          | TBD                      | TBD                  | TBD            |                                                              |
| actuator number                                  | TBD                      | pitch ≥ 0.5m | TBD                      | TBD                  | pitch = 0.5m ? |                                                              |

**Loop**

|                                           |                                    |                                         |                                    |                   |                   |                                                                                                                                                 |
|-------------------------------------------|------------------------------------|-----------------------------------------|------------------------------------|-------------------|-------------------|-------------------------------------------------------------------------------------------------------------------------------------------------|
| reconstruction method                     | SVD, Kalman-like, pseudo open loop | SVD, L&A, Kalman-like, pseudo open loop | SVD, Kalman-like, pseudo open loop | TBD               | SVD, Kalman, POLC | Missing Kalman-like (work in progress at LAM), L&A (work in progress at LESIA), FriM (work in progress at LESIA) CureD for pyramid with spiders |
| conditioning number for SVD               | TBD                                | TBD                                     | TBD                                | TBD               | TBD               |                                                                                                                                                 |
| frequency                                 | up to 2kHz                         | up to 1kHz?, multi-frame                | up to 2kHz                         | up to 4 kHz (tbc) | 1kHz              |                                                                                                                                                 |
| gain                                      | TBD                                | TBD                                     | TBD                                | TBD               | TBD               |                                                                                                                                                 |
| delay                                     | TBD                                | 2 frames                                | TBD                                | TBD               | 2frames ?         |                                                                                                                                                 |
| reconstruction method specific parameters | TBD                                | L&A + others                            | TBD                                | TBD               |                   |                                                                                                                                                 |



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**Simulation**

|                |     |     |     |     |                               |
|----------------|-----|-----|-----|-----|-------------------------------|
| pupil sampling | TBD | TBD | TBD | TBD | TBD                           |
| niter          | TBD | TBD | TBD | TBD | TBD<br>Enough for convergence |

Not implemented

**Coronagraphy**

|                  |                |    |    |     |    |
|------------------|----------------|----|----|-----|----|
| coronagraph type | Lyot or Vortex | NA | NA | TBD | NA |
| other parameters | TBD            |    |    | TBD |    |

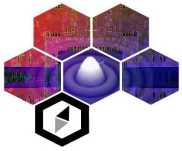
**Others**

|                                     |     |                                        |                                        |     |                                        |                 |
|-------------------------------------|-----|----------------------------------------|----------------------------------------|-----|----------------------------------------|-----------------|
| input phase maps                    | TBD | TBD                                    | TBD                                    | TBD | TBD                                    | Missing feature |
| input tip-tilt vibrations (spectra) | TBD | Should include wind-shake / vibrations | Should include wind-shake / vibrations | TBD | Should include wind-shake / vibrations | Missing feature |

**OUTPUT PARAMETERS**

|                                     |   |                  |  |                                     |  |                 |
|-------------------------------------|---|------------------|--|-------------------------------------|--|-----------------|
| cbmes                               | ? |                  |  | cbmes                               |  |                 |
| cbcom                               | ? |                  |  | cbcom                               |  |                 |
| phases (input tel, DM, WFS, caméra) |   | WFE in the field |  | phases (input tel, DM, WFS, caméra) |  |                 |
| SR, FWHM & EE on targets            |   | SR, FWHM, EE     |  | complex amplitudes SR               |  |                 |
|                                     |   |                  |  | Contrast                            |  | Missing feature |

Figure 6: AO requirements and missing modules in COMPASS



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### ***5.3 Prioritization of the AO modules to be developed***

#### **5.3.1 Modules already included in the COMPASS development plan or other parallel studies**

The modules AO.M1 (for the pyramid part) and AO.M2 are scheduled in the work load of IPAG and LESIA respectively in the frame of COMPASS (for AO.M1/pyramid and AO.M2 for the Kalman filter) or in the frame of the MOSAIC project and/or other on-going collaborations with other institutes within 2014. In consequence, they do not require any further prioritization.

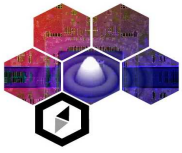
#### ***5.3.2 Modules to be included into the workload of the COMPASS project***

The SWG reviewed the remaining modules and established the following prioritization :

P0: AO.M3

P1: AO.M4 and AO.M5, which are specifically required for high contrast studies.

This prioritization was forwarded to the Computing Working Group.



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## Annex A : simulation sheets

### Science Case

**Science Case :** The mass assembly of galaxies out to  $z \sim 5.6$

**Instrument concept :** ELT-MOS

**Laboratory :** GEPI

**Deadline :** mid 2014

**Summary of the Science Case (15-20 lignes max) :** Integral field spectroscopy can now routinely provide us with spatially-resolved properties of galaxies out to  $z \sim 3$ . However, the samples gathered so far at  $z > 1$  suffer from selection biases which result from the lack of redshift surveys that are representative in terms of stellar mass at these redshifts and/or only the brightest objects can be detected by the 8-10m telescopes. One of the major goals of the E-ELT will be to assemble uniform, complete, and representative samples of galaxies between  $z \sim 2$  and 5.6. These samples will allow us to measure the main physical and chemical *spatially-resolved* properties of galaxies (kinematics, metallicity, dust distribution, and star formation rate). This will allow us to better understand the mechanisms responsible for the mass assembly of galaxies as a function of time, as well as those driving the formation of sub-structures observed in local galaxies (disks, bulges, halos).

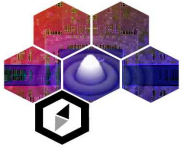
### Description

**Goal of the simulations :** The goal is to simulate 3D spectroscopic observations of galaxies at different redshifts covering the expected galaxy types (rotating disks, mergers, clumpy disks). The simulated datacubes will help constraining the optimal spatial resolution and sampling allowing us to distinguish the different types of galaxies as a function of  $z$  and mass (flux/size). The galaxies will be observed in their emission lines ([OII]  $\lambda 372.7$  nm or H $\alpha$   $\lambda 656.3$  nm depending on  $z$ ). It is only required to simulate a small spectral domain  $\sim \pm 5$  nm around the expected position of the emission line.

**Analysis of the simulations :** Each spaxel of a simulated datacube will be fitted by a Gaussian in emission in order to derive the velocity fields and velocity dispersion maps of the redshifted targets. These will then be used to distinguish between rotating disks and mergers as a function of size and flux. Spatial resolution will be quantified using the EE (Ensquared Energy) provided by the AO system. The spatial sampling corresponds to the simulated spaxel of the simulated datacube.

### Input parameter space

**Physical parameters:**



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| Objects to be simulated | Range/units                | Comments                     |
|-------------------------|----------------------------|------------------------------|
| Local disk galaxies     | Types S0 to Sd             | Barred or not                |
| Distant clumpy galaxies | $N_{\text{clumps}}=2-5$    | Number of clumps             |
| Merging galaxies        | $D_{\text{prog}}=5-50$ kpc | Distance between progenitors |

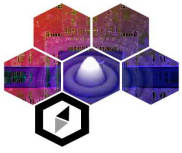
Note: The GHASP or CALIFA databases of 3D observations of local galaxies cover a very large range of morpho-kinematic types, which can be used as inputs. Existing idealized hydrodynamical simulations can be used to cover the remaining types.

| Parameter             | Range/units                    | Comments                                        |
|-----------------------|--------------------------------|-------------------------------------------------|
| Redshift $z$          | $z=2,4,5,6$                    |                                                 |
| Integrated magnitudes | $\text{mag}_{\text{AB}}=20-28$ | Depends on mass/distance                        |
| EW[emission line]     | 3 nm                           | Equivalent width of the simulated emission line |
| Velocity Gradient     | 90-300 km/s                    | Depends on mass                                 |
| Size                  | 0.3-3.4 arcsec                 | Diameter. Depends on mass/distance              |

Note : The integrated properties are set by the mass function of galaxies at a given redshift . Then the integrated magnitude, rotation velocity, size, and equivalent width can be determined from scaling relations between these quantities as a function of  $z$ .

#### Observational parameters :

| Parameter              | Range/units | Comments                                                                  |
|------------------------|-------------|---------------------------------------------------------------------------|
| Spectral resolution    | 4 000       | R                                                                         |
| Spectral sampling rate | 2           | Shannon                                                                   |
| Spatial sampling       | 50-120 mas  | Rectangular                                                               |
| DIT                    | 3600 s      | Integration time of an individual frame                                   |
| NDIT                   | 1-40        | Number of frames                                                          |
| $\Delta\lambda$        | Y-K         | It is only required to simulate a +/- 5 nm range around the emission line |



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|              |                         |                                     |
|--------------|-------------------------|-------------------------------------|
| Transmission | 30 %                    | Atmosphere + Telescope + Instrument |
| FoV          | 2x2 arcsec <sup>2</sup> | FoV to be simulated                 |

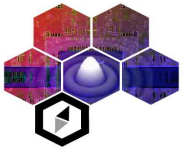
### AO parameters :

| Parameter               | Range/units                        | Comments                                                       |
|-------------------------|------------------------------------|----------------------------------------------------------------|
| EE                      | 30 % within 80x80 mas <sup>2</sup> | Spec. given at $\lambda_{\text{spec}}$                         |
| FoV corrected           | 2x2 arcsec <sup>2</sup>            | 20 areas to be corrected within a 7 arcmin patrol field (MOAO) |
| $\lambda_{\text{spec}}$ | 1.6 $\mu\text{m}$                  | H band                                                         |

### Output data

| Output data            | Type                                                    | Comments                                                |
|------------------------|---------------------------------------------------------|---------------------------------------------------------|
| Datacube               | FITS 3D                                                 | Including noise, sky/background subtracted              |
| Datacube without noise | FITS 3D                                                 | Same as before but without noise (for testing purposes) |
| Simulated background   | FITS 2D                                                 | Simulated background spectrum                           |
| EE                     | Scalar / keyword to be written into the datacube header | EE measured within 2x2 spaxels                          |





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## Science Case

**Science Case :** High resolution imaging of distant galaxies  
**Instrument concept :** ELT-CAM

**Laboratory :** GEPI  
**Deadline :** late 2014

**Summary of the Science Case (15-20 lignes max) :** We propose to simulate very high resolution images of high-redshift galaxies ( $z > 1-2$ ). Such observations will be taken at the diffraction limit of the telescope (6, 8, 10 mas in J, H, K for a 42 m telescope). The resolution will be approximately 60 pc in physical length and will be of comparable quality to 1 arcsec imaging of Virgo galaxies. They will give typically more than 100 resolution elements over the galaxies and produce detailed information about the morphology, dynamical state, and variations in physical parameters across the galaxy. These observations will image galaxies beyond (redward of) the Balmer break up to  $z=4.5$ , enabling the separation of old and young stars. High contrast observations of QSOs will produce host morphologies, colours, and physical properties. At lower redshifts, the E-ELT will offer exquisite diffraction-limited images of galaxies, which will provide unrivalled details about their morphological structure.

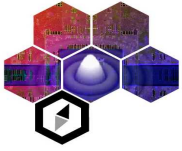
## Description

**Goal of the simulations :** The goal is to simulate broad-band high resolution images for a sample of distant galaxies with different morphological types (rotating disks, mergers, clumpy galaxies) and sizes/masses as expected in the distant Universe. The depth and impact of OH-filtering were explored in the E-ELT DRM so these simulations will focus on the recovery of the detailed morphological structure of distant galaxies using standard fitting software such as GALFIT. Different resolution will be explored (limit of diffraction vs. JWST-like resolution). Only stamps of sizes  $\sim$  a few times the galaxy size need to be simulated, ie, there is no need for simulating complete 10s-arcmin wide images typical of imaging surveys. The impact of the AO correction in the field (GLAO vs. MCAO) and as a function wavelength (broad-band filters) will be explored.

**Analysis of the simulations :** The simulated images will be analyzed using GALFIT to measure their Bulge-to-Total luminosity ratio, size, and surface brightness. These parameters will be compared to the original (un-redshifted) values to quantify the precision and accuracy of AO-corrected images in the recovery of such morphological parameters. The performance of the AO correction (GLAO vs. MCAO) will be measured using the SR.

## Input parameter space

**Physical parameters:**



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| Objects to be simulated | Range/units                        | Comments                     |
|-------------------------|------------------------------------|------------------------------|
| Local galaxies          | E to Irr types                     | Barred or not                |
| Clumpy galaxies         | $N_{\text{clumps}}=2-5$            | Number of clumps             |
| Mergers                 | $D_{\text{prog}}=5-50 \text{ kpc}$ | Distance between progenitors |

Remarques : hydrodynamical simulations or HST images are required as input. HST Images of Lyman Break Analogs will be used for simulating distant clumpy galaxies.

| Parameter            | Range/units                    | Comments                       |
|----------------------|--------------------------------|--------------------------------|
| Redshift $z$         | $z=2,4,6,8$                    |                                |
| Magnitudes intégrées | $\text{mag}_{\text{AB}}=20-30$ | Depends on mass/ $z$           |
| Taille sur le ciel   | 0.3-6 arcsec                   | Diameter. Depends on mass/ $z$ |

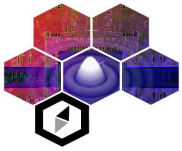
Remarque : scaling relations between mass and size will be used to rescale the high resolution templates as a function of  $z$ , as well as empirical relations between mass and magnitude.

#### Observationnal parameters :

| Parameter        | Range/units                   | Comments                                    |
|------------------|-------------------------------|---------------------------------------------|
| Filters          | J-H-Ks                        |                                             |
| Spatial sampling | 4-30 mas                      | DL & JWST cases                             |
| DIT              | 600 s                         | Temps d'intégration d'une pose individuelle |
| NDIT             | 1-60                          | Up to 10 hr long exposures                  |
| Throughput       | $\sim 50 \%$                  | Atmosphere + Telescope + Instrument (TBC)   |
| FoV              | $2 \times 2 \text{ arcsec}^2$ | FoV to be simulated                         |

#### AO parameters :

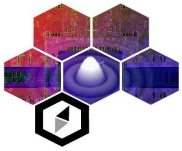
| Parameter | Range/units | Comments                            |
|-----------|-------------|-------------------------------------|
| SR        | TBC         | Typical performances of GLAO & MCAO |



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**Output data**

| <b>Output data</b>  | <b>Type</b>                                        | <b>Comments</b>                        |
|---------------------|----------------------------------------------------|----------------------------------------|
| Image               | FITS 2D                                            | Noise included ; background subtracted |
| Image without noise | FITS 2D                                            | Testing purposes                       |
| Background          | FITS 2D                                            | Background image                       |
| SR                  | Scalar / keyword to be written in the Image Header |                                        |



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## Science Case

**Science Case :** Probing the epoch of reionisation – spectroscopy of the « first light objects »  
**Instrument concept :** ELT-MOS

**Laboratory :** GEPI  
**Deadline :** mid 2014

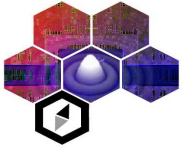
**Summary of the Science Case (15-20 lignes max) :** The current telescopes of the 8-10 meter class can detect galaxies up to  $z \sim 7-8$ . However, such samples remain very limited in size and very few sources have been confirmed beyond  $z \sim 6$ , which corresponds to the end of the reionisation era. During this important phase of the history of the Universe, the neutral inter-galactic gas is fully ionized. However, the astrophysical sources responsible for this re-ionisation phase remain largely uncertain, probably because they are very faint and compact. One of the major goal of the E-ELT will be to gather an ultra-deep survey of a statistical sample of such sources, i.e., a systematic search and detection of the most distant sources in the Universe, the very first galaxies. These will be searched in emission (« Lyman Alpha Emitters », LAE) or using the variations of their continuum (« Lyman Break Galaxies », LBG). The goal is to study the integrated properties of their interstellar medium (stellar populations, winds, ionizing flux using the Lyman Alpha and UV interstellar lines) as well as their spatially-resolved properties for the brightest ones.

## Description

**Goal of the simulations :** The goal is to simulate spectroscopic observations of LAEs and LBGs in order to determine the best observing mode (3D vs. simple aperture), as well as the main instrumental characteristics (spatial sampling and resolution). Then we will quantify what is the limit to retrieve the properties of their interstellar medium (relative position between nebular and ISM lines, stellar populations, velocity field). Only a spectral range  $\sim \pm 5$  nm around the position of the different lines needs to be simulated (Lyman  $\alpha$   $\lambda=121.6$  nm, Lyman break at 912 nm, UV interstellar lines around 120 nm).

**Analysis of the simulations :** We will compare the signal to noise ratio obtained for different observing modes as a function of the spatial sampling and resolution, as well as as a function of the physical properties of the sources (size, flux). The limiting sensitivity corresponding to  $S/N=5$  on the integrated spectrum of each mode will be estimated to determine the best observing strategy. Then the corresponding simulations will be used to estimate the depth limit for which a velocity field can be measured. Spatial resolution will be quantified using the EE (Ensquared Energy) provided by the AO system. The spatial sampling corresponds to the simulated spaxel of the simulated datacube or to the aperture of the spectrograph on sky.

## Input parameter space



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### Physical parameters:

| Objects to be simulated | Range/units              | Comments                   |
|-------------------------|--------------------------|----------------------------|
| LAE                     | EW(Ly $\alpha$ )=1-15 nm | EW varying with luminosity |
| LBG                     | J(AB)~25 à 30            | Size varying with flux     |

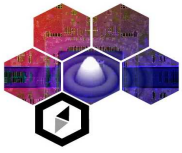
Note : The flux vs. Size plan will be populated using current observational constraints (e.g., Grazian et al. 2012). The LBG stacked UV template from Shapley et al. 2033 will be used to model the spectral features to be detected. A diffuse and large-scale halo has been detected around LBGs at  $z\sim 3$  (Steidel et al. 2010) but it remains uncertain in more distant sources. The impact of such a possible halo will be investigated in a second step.

| Parameter            | Range/units             | Comments                                                                                                                                                                     |
|----------------------|-------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Redshift $z$         | $z\sim 7-9$             | $z$ can be fixed to target a region free of OH sky lines in which the line will be detected                                                                                  |
| Integrated magnitude | $\text{mag}_{AB}=25-30$ |                                                                                                                                                                              |
| Kinematics           | $\sim 200$ km/s         | Central part dominated by outflows (simple constant in the Ly- $\alpha$ line at small spatial scale can be used). Large-scale diffuse halo : biconic jet seen in projection. |
| Size                 | 0.1-0.25 arcsec         | Depends on flux                                                                                                                                                              |

Note : The intrinsic properties of the sources will be set using current empirical constraints at  $z\sim 3-7$  (e.g., Swinbank et al. 2008 ; Vanzella et al. 2009 ; Jiang et al. 2013a,b).

### Observational parameters :

| Parameter             | Range/units | Comments                                      |
|-----------------------|-------------|-----------------------------------------------|
| Spectral resolution   | 4 000       | R                                             |
| Spatial sampling rate | 2           | Shannon                                       |
| Spatial resolution    | 40-900 mas  | IFU and simple aperture cases to be simulated |
| DIT                   | 3600 s      | Integration time of a single exposure         |
| NDIT                  | 1-40        | Number of exposures                           |



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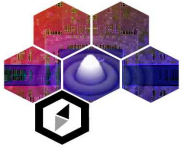
|                 |                         |                                      |
|-----------------|-------------------------|--------------------------------------|
| $\Delta\lambda$ | Y-K                     | Only +/- 5 nm around lines is needed |
| Transmission    | 30 %                    | Atmosphere + Telescope + Instrument  |
| FoV             | 2x2 arcsec <sup>2</sup> | FoV to be simulated                  |

### AO parameters :

| Parameter               | Range/units                      | Comments                                                                                                  |
|-------------------------|----------------------------------|-----------------------------------------------------------------------------------------------------------|
| EE                      | 30 % dans 80x80 mas <sup>2</sup> | Spec. at $\lambda_{\text{spec}}$                                                                          |
| FoV corrected           | 2x2 arcsec <sup>2</sup>          | 20 areas to be corrected within a patrol field of 7 arcmin (MOAO), or simple GLAO for integrated spectra. |
| $\lambda_{\text{spec}}$ | 1.6 $\mu\text{m}$                | H band                                                                                                    |

### Output data

| Output data            | Type                                                    | Comments                                                |
|------------------------|---------------------------------------------------------|---------------------------------------------------------|
| Datacube               | FITS 3D                                                 | Including noise, sky/background subtracted              |
| Datacube without noise | FITS 3D                                                 | Same as before but without noise (for testing purposes) |
| Simulated background   | FITS 2D                                                 | Simulated background spectrum                           |
| EE                     | Scalar / keyword to be written into the datacube header | EE measured within 2x2 spaxels                          |



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## Science Case

**Science Case :** Mass Assembly and internal structures in  $z>1$  galaxies  
**Instrument concept :** ELT-IFU

**Laboratory :** LAM

**Deadline :** -

**Summary of the Science Case (15-20 lignes max) :** Currently, adaptive optics can't be used with reasonable exposure times for high redshift galaxies ( $z>1$ ) with star formation rates (SFR) similar to those commonly observed in the nearby Universe (SFR $\sim 1$  Msun/yr). In addition, spatial resolution available so-far using 10-m class telescopes is still below the resolution obtained for  $z<0.03$  galaxies in seeing limited observations by a factor around 5.

For the first time, the ELT used with ELT-IFU instrument (Harmoni) will allow to compare resolved physical properties (kinematics and metallicity) of local and high- $z$  galaxies ( $z<3$ ) at the same scale and with similar depth. In particular, lensed galaxies are the best targets to obtain the deepest observations and the highest spatial resolution.

These data should enable (i) to study internal structures formation and evolution (spiral arms, bars, clumps), (ii) to compute mass models from high resolution rotation curves to constrain dark matter halo profiles at high  $z$ , (iii) to constrain accurately local gaseous velocity dispersion measurements and to compute metallicity gradients from line ratio without any beam smearing biases.

## Description

**Goal of the simulations :** The proposed simulations will provide 3D spectroscopy data at both high spatial and spectral resolutions in various galaxy types in the Universe between  $z=1$  and  $z=3$  (isolated galaxies, interacting galaxies, mergers). Depending on the spatial resolution, we might be able to target galaxies with SFR as low as 1 Msun/yr.

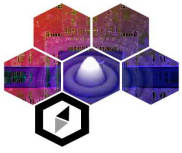
We will focus on ionised gas emission lines (Ha, Hb, [OII], [OIII], [NII]).

Depending on the size of the galaxies, we will use different spatial resolutions. The usefull spectral domain is still to be defined. However, in principle, we could use small spectral ranges ( $\sim \pm 2$  nm) around each line of interest.

The number and magnitude of AO reference stars should also be constrained using these simulations in order to know how to proceed for target selection.

**Analysis of the simulations :** Line momentum (flux, position, width) will be computed for each line and each spaxels. We will derived kinematics maps, line flux maps, line ratio maps. The results will be compared to simulation input data. The influence of the spectral resolution and spatial PSF shape will be studied to infer the optimum sampling and resolution that will enable to study the internal structures at high redshift.

## Input parameter space



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### Physical parameters:

| Objects to be simulated | Range/units                | Comments                        |
|-------------------------|----------------------------|---------------------------------|
| Spiral galaxies         | Type S0 à Sd               | Barred and non-barred           |
| Mergers                 | $D_{\text{prog}}=5-50$ kpc | Distance between progenitors    |
| High-z galaxies         | $M^*=10^9 - 10^{11}$ Msun  | From hydrodynamical simulations |
| Lensed galaxies         |                            | Idem as previous but lensed     |

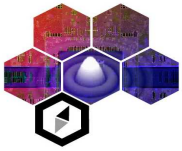
Remark : We can use observationnal databases (e.g. GHASP) as well as numerical simulations databases (e.g. GALMER, RAMSES) that are available publicly or in development at LAM. Tools that enable lensing simulations will have to be included but can be available through collaboration between LAM and CRAL (LensTool). This can be done in a second step.

| Parameter          | Range/units                   | Comments                                         |
|--------------------|-------------------------------|--------------------------------------------------|
| Redshift           | 1, 2, 3                       |                                                  |
| Taille sur le ciel | 0.5-2 arcsec                  | Diamètre qui dépendra de la masse et du redshift |
| Flux               |                               | Flux intégré de la raie d'émission principale    |
| Largeur            |                               | Largeur de raie                                  |
| Magnitude          |                               | Magnitude du continuum                           |
| Masses             | $M^*\sim 10^9 - 10^{11}$ Msun | Masse stellaire                                  |

### Observationnal parameters :

| Parameter                       | Range/units | Comments                                    |
|---------------------------------|-------------|---------------------------------------------|
| Pouvoir de résolution spectrale | 10 000      | R                                           |
| Taux d'Échantillonnage spectral | 2           | Shannon                                     |
| Échantillonnage spatial         | 20 mas      | Rectangulaire                               |
| DIT                             | 600 s       | Temps d'intégration d'une pose individuelle |
| NDIT                            | 60          | Nombre de poses                             |
| Bandes                          | Y-J-H-K     | Bandes spectrales                           |





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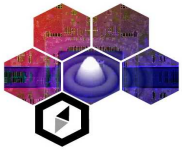
|              |                           |                                       |
|--------------|---------------------------|---------------------------------------|
| Transmission | ~30 %                     | Atmosphère + Télescope<br>+Instrument |
| Champ        | 5x2.5 arcsec <sup>2</sup> | Champ des données à simuler           |

### AO parameters : LTAO ? (Harmoni)

| Parameter               | Range/units                      | Comments                                  |
|-------------------------|----------------------------------|-------------------------------------------|
| Énergie Encadrée        | 30 % dans 40x40 mas <sup>2</sup> | Spec. souhaitée à $\lambda_{\text{spec}}$ |
| FoV corrigé             | 5x2.5 arcsec <sup>2</sup>        | 1 zone unique à corriger                  |
| $\lambda_{\text{spec}}$ | 1.6 $\mu\text{m}$                | Bande H                                   |

### Output data

| Output data               | Type                                                | Comments                                                                       |
|---------------------------|-----------------------------------------------------|--------------------------------------------------------------------------------|
| Cube de donnée            | FITS 3D                                             | Inclus bruit, et soustrait du ciel/fond thermique                              |
| Cube de donnée sans bruit | FITS 3D                                             | Identique au précédent mais sans bruit (pour tests)                            |
| Fond de ciel simulé       | FITS 2D                                             | Spectre de fond de ciel utilisé pour l'étape de soustraction (inclus le bruit) |
| Cube PSF                  | FITS 3D                                             | Cube contenant une étoile PSF de référence.                                    |
| EE                        | Scalaire / keyword à insérer dans le cube de donnée | Mesure de l'EE obtenue dans 2x2 spaxels                                        |



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## Science case

**Name of the science case :** *Spectral characterization of giant exoplanets from direct imaging*

**Associated instrumental concept :** *ELT-IFU*

**Laboratory :** *LAM*

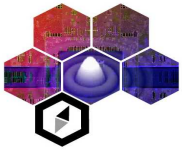
**Estimation of due date :** *end 2014*

**Summary of the science case:** Spectral characterization of giant exoplanets detected with direct imaging is a fundamental step for understanding the formation processes of these massive objects (a few Jupiter masses,  $M_{\text{Jup}}$ ) at orbital separations of 10-50 astronomical units (AU). The new generation of instruments dedicated to direct imaging on 8-10 m-class telescopes (e.g. VLT/SPHERE, Gemini/GPI) is soon going to provide a new insight in this field by surveying young stars of the solar neighborhood in a systematic way, looking for planetary mass companions at large separation. However, the characterization capabilities of these instruments will be limited to low resolution spectroscopy ( $R < 50$ ) in the near-infrared. One of the main assets of the future E-ELT will be to bring a significant gain in contrast and angular resolution, allowing a fine characterization of physical and chemical properties of the cool atmospheres of these objects thanks to spectra obtained at much higher resolutions ( $R > 1000$ ). To reach this goal, the use of a single-conjugate adaptive optics (SCAO) system and of a coronagraph is however necessary to obtain sufficient attenuation of the star. Coupled with an integral field spectrograph (IFS), these systems will allow characterizing planetary systems previously identified with 8-10 m-class telescopes.

## Description of the simulations

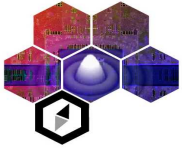
**Goal of the simulations:** The goal is to simulate data combining SCAO, simple coronagraphy and 3D spectroscopy to obtain data cubes that represent typical observations with an instrument such as HARMONI (ELT-IFU) in its small-field ( $1 \times 1 \text{ arcsec}^2$ ), low-resolution ( $R \sim 4000$ ) mode. Two types of data will be simulated: images with the coronagraph (standard Lyot or apodized Lyot), and reference PSFs without the coronagraph that will be used to simulate fake planets inside the datacubes to estimate the detection performance. The simulation will not include detection noise to be able to simulate the photometry *a posteriori* in various cases. However, the magnitude of the star will be a simulation parameter to be able to simulate different levels of AO correction: bright ( $R_{\text{mag}}=4$ , favorable case), intermediate ( $R_{\text{mag}}=7$ ) and faint ( $R_{\text{mag}}=10$ , unfavorable case). The simulation will also need to include a realistic level of instrumental aberrations, ideally with AO-filtered atmospheric residuals. Finally, the simulation of images will require a proper sampling (Shannon or better) over a wide spectral range (e.g. YJHK), to be able to use advanced data analysis methods to subtract speckles and reveal the signal of the fake planets introduced into the data.

**Analysis of the simulations simulations:** The raw simulation results will be scaled photometrically, and noise will be added, to represent realistic data as a function of the system age and distance. Advances data analysis methods to attenuate the speckles noise (e.g. "spectral



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deconvolution”) will be applied to test the detectability of the planets introduced into the data. By varying the properties of the star and simulated planets, we will explore the parameter space (separation; contrast) that is accessible to an HARMONI-like instrument, and possibly update the original science case of such an instrument for what concerns the characterization of giant planets.



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## Input parameter space

### Physical parameters:

| Type of objects to simulate | Interval/units        | Comment |
|-----------------------------|-----------------------|---------|
| Star                        | A- to M-type          |         |
| Planet                      | Giant gaseous planets |         |

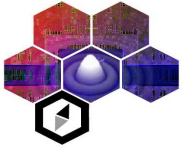
Comment: both the stars and planets can be simulated using synthetic spectra at high-resolution

| Parameter         | Interval/units                                                           | Comment                           |
|-------------------|--------------------------------------------------------------------------|-----------------------------------|
| Size on the sky   | Unresolved objects                                                       |                                   |
| Distance          | $d = 10\text{-}100$ pc                                                   | Distance of the systems           |
| Age               | $5 - 100$ Myr                                                            | Age of the systems                |
| Stellar magnitude | $R_{\text{mag}} = 4, 7, 10$                                              |                                   |
| Planetary mass    | $M = 1\text{-}15 M_{\text{Jup}}$                                         |                                   |
| Separation        | $1\text{-}50$ AU<br>$= \sim 0.02\text{-}0.50$ arcsec<br>projected on sky | Orbital separation of the planets |

Comment: apart from the stellar magnitude that drives the level of AO correction, the other parameters that influence the photometry (age, spectral type, noises, sky and instrumental transmission, etc.) will be simulated *a posteriori* to have access to a wider range of planetary systems without requiring heavy calculations.

### Observational parameters:

| Parameter           | Interval/units                   | Comment                             |
|---------------------|----------------------------------|-------------------------------------|
| Spectral resolution | 4 000                            | R                                   |
| Spectral sampling   | 2                                | Shannon                             |
| Spatial sampling    | 4 mas                            |                                     |
| DIT                 | 10 s                             | Single exposure integration time    |
| NDIT                | 1-100                            | Number of exposures                 |
| Spectral domain     | YJHK                             |                                     |
| Transmission        | 10-20%                           | Atmosphere + telescope + instrument |
| FoV                 | $1 \times 1$ arcsec <sup>2</sup> | FoV of the data to simulate         |



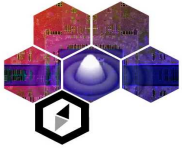
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**AO parameters:**

| Parameter               | Interval/units          | Comment                                     |
|-------------------------|-------------------------|---------------------------------------------|
| SR                      | 50-70%                  | Strehl ratio in K-band, for a 0.85'' seeing |
| Corrected FoV           | 1x1 arcsec <sup>2</sup> |                                             |
| $\lambda_{\text{spec}}$ | 2.0 $\mu\text{m}$       | K-band                                      |
| Number of actuators     | 80x80                   |                                             |
| Correction frequency    | 500 Hz                  |                                             |

**Expected output data**

| Output data                                                | Type                                           | Comment                                                               |
|------------------------------------------------------------|------------------------------------------------|-----------------------------------------------------------------------|
| Data cube <b>without</b> coronagraph, <b>without</b> noise | FITS 3D                                        | Star simulated without coronagraph, without noise                     |
| Data cube <b>with</b> coronagraph, <b>without</b> noise    | FITS 3D                                        | Star simulated with coronagraph                                       |
| Simulated sky background, without noise                    | FITS 2D                                        | Spectrum of the sky background used in the sky subtraction step       |
| EE                                                         | Scalar / keyword to insert inside the datacube | Measure of the encircled energy in 2x2 spaxels                        |
| Residual tilt RMS                                          | Scalar / keyword to insert inside the datacube | For simulations that include the atmosphere, RMS of the residual tilt |



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## Science Case

**Science Case :** The formation of massive stars

**Instrument concept :** *ELT-CAM / ELT-IFU / ELT-MOS / ELT-PF*

**Imagery + spectroscopy**

**Laboratory :** *LAM*

**Deadline :**

### Summary of the Science Case (15-20 lignes max) :

The massive stars ( $M > 8 M_{\text{sun}}$ ) are the main agent of the physical, chemical and dynamic evolution of galaxies. In the past, they had also a major impact during the evolution of the Univers (re-ionisation, formation of the first heavy elements). However, the processus leading to the formation of the massive stars are still not well understood. Indeed, it is expected that these stars ignite the hydrogen burning while still accreting the surrounding matter. The radiation pressure hence generated stop the matter accretion and then limit the final mass of the star to a lower value than observed. To understand how the massive stars form it is then important to observe them from their earliest phase of evolution to their final stable state and then to compare these observations to the existing models.

If it is clear that massive stars form in cold and dense clumps located in the interstellar medium filaments (observed in the far-infrared and sub-millimetric) it appears that massive stars often form into dense stellar clusters while sometimes they form isolated.

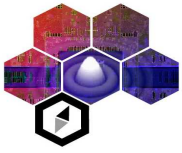
In the near-infrared, the band which will be covered by the first generation instruments of the E-ELT, these stellar clusters are observed in an evolution state very close to the formation which allow us to study the formation process. Indeed, the dynamic evolution of these clusters is not yet advanced which make the primordial properties during the formation conserved.

We plan to take advantage of the E-ELT instruments, in particular those with an adaptive optic module (like HARMONY) to adress the following topics :

- Distribution of the stars in stellar clusters containing massive stars: what is the initial mass function ?
- Properties of the stars in stellar clusters containing massive stars : chemistry and dynamics
- The massive stars in external galaxies : what is the impact of the metallicity to the process and the products of the stellar formation ?
- The primordial massive stars : formation of the massive stars at high redshift.

## Description

**Goal of the simulations :** We would like, thanks to simulation, to estimate the capability of the E-ELT to observe in imagery and spectroscopy massive stellar clusters at different redshifts (from clusters in our Galaxy to extragalactic clusters at different redshifts). In particular we would like to know the detection and the resolution limit for these clusters in imagery and, for the spectroscopy point of view, to understand how the spectral information evolve respectively to the distance of the



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cluster (integrated and redshifted spectral information). In particular we would like to understand how the observed mass function and the spectral signature from these clusters is observationally impacted due to the distance.

**Analysis of the simulations :** The main data to simulate respectively to the increasing distance (redshift) to the cluster are : the color-magnitude diagrams, the stellar density profiles, the luminosity and mass functions and the integrated spectrum. The goal is to quantify the observational biases affecting these data and to determine the highest  $z$  up to which these clusters could be observed with pertinence.

### Input parameter space

**Physical parameters:** The objects to simulate are young stellar clusters. These clusters follow a King profile and have characteristic size between 0.1 and 2 pc. They contain few tens up to few hundred of stars.

Depending on their evolutionary stage these clusters can be deeply embedded in their parental molecular cloud and then they can exhibit stars with large infrared excess.

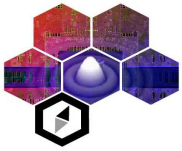
*We already have high angular resolution NIR images of a cluster which could be used as input for the simulations.*

| Objects to be simulated | Range/units     | Comments                                                                 |
|-------------------------|-----------------|--------------------------------------------------------------------------|
| Stellar clusters        | Type OCs to ECs | Stellar clusters at different evolutionary stage (more or less embedded) |

| Parameter                | Range/units                              | Comments                                                         |
|--------------------------|------------------------------------------|------------------------------------------------------------------|
| Apparent Size            | 61" – 0.6"                               | A typical size of 3 pc placed between 8 kpc (Galactic) and 1 Mpc |
| Central Density          | Between 3 and 40 stars / pc <sup>2</sup> |                                                                  |
| Surface density profiles | King profile                             | Or image available for input                                     |
| Limit magnitude          | mk = 20 (at d ~ 3.5 kpc)                 | To adjust with the distance                                      |

### Observational parameters :

| Parameter             | Range/units | Comments |
|-----------------------|-------------|----------|
| <b>Spectroscopy :</b> |             |          |



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|----------------------|-----------------------|-----------------------------------------------|
| Spectrale resolution | 4 000                 |                                               |
| Spatial resolution   | 20 mas                |                                               |
| Spectrale domain     | 2 – 2.5 $\mu\text{m}$ |                                               |
| <b>Imagery :</b>     |                       |                                               |
| Band                 | K                     |                                               |
| Spatial resolution   | 20 mas                |                                               |
| FOV                  | 100" - 2"             | To adjust to the apparent size of the object. |

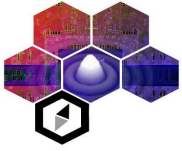
**AO parameters :** description sous forme de tableau du type de correction souhaitée (métrique : SR, EE, taille du champ corrigé). Indiquer à quelles longueurs d'onde se réfèrent les spécifications.

| Parameter               | Range/units                      | Comments                                                                |
|-------------------------|----------------------------------|-------------------------------------------------------------------------|
| Énergie Encadrée        | 30 % dans 80x80 mas <sup>2</sup> | Spec. souhaitée à $\lambda_{\text{spec}}$                               |
| FoV corrigé             | 3x3 arcsec <sup>2</sup>          | 20 zones à corriger dans un champ global de 7 arcmin de diamètre (MOAO) |
| $\lambda_{\text{spec}}$ | 1.6 $\mu\text{m}$                | Bande H                                                                 |

### Output data

| Output data              | Type    | Comments                                   |
|--------------------------|---------|--------------------------------------------|
| <b>Imagery :</b>         |         |                                            |
| Simulated Images         | FITS 2D | Including noise and background subtraction |
| Simulated Background sky | FITS 2D | Background used for the correction step.   |
| <b>Spectroscopy :</b>    |         |                                            |
| Images                   | FITS 3D | Data-Cubes                                 |





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## Science Case

**Science Case :** *Exoplanets direct imaging*  
**Instrument concept :** *ELT-CAM*

**Laboratory :** *LESIA*  
**Deadline :** *Rapidement*

### Summary of the Science Case (15-20 lignes max) :

For the exoplanet science case, ELT-CAM and its SCAO mode is for the ELT what NACO is for the VLT, a general-purpose imager with adaptive optics offering the highest possible Strehl ratio and contrast on axis. The same kind of instrument on a ~40-m telescope will improve the angular resolution by a factor of 4 to 5. Assuming the same level of contrasts are achievable than with NACO, a beta Pic b-like object is detectable at ~2 AU instead of 8-10AU. ELT-CAM will have the capability to reach closer physical separations than NACO and even SPHERE on nearby targets (<50 pc). This range is overlapping the one probed with RV, which now starts to be applicable on young early type stars (Lagrange et al. 2012b) although active. Therefore, it will be possible to infer the true mass of a planet from the minimal mass measured by RV and the inclination measured from imaging (several epochs needed). A more precise calibration of evolutionary models will become feasible hence with the advantage to perform better spectral characterization. In addition, ELT-CAM will be able to search for young giant and massive planets (10 MJ) on wide orbits (>20-30 AU) around young star associations that are more distant than those observed with SPHERE (100-150 pc rather than 30-90 pc). Therefore, the number of potential targets is larger. Moreover, these observations performed in several bands (JHK) will allow to derive near IR colors and to put constraints on some atmospheric properties like temperature and surface gravity.

## Description

**Goal of the simulations :** *décrire le type d'observations à simuler (images, spectres 1D, cubes de données) et quel(s) Parameter(s) lié(s) au design de l'instrument doit(ven)t être optimisé(s) in fine. On décrira aussi rapidement quel est la structure spatiale/spectrale que l'on cherchera à détecter dans les simulations (par ex. : raie en émission/absorption).*

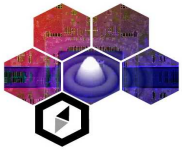
A typical observation with ELT-CAM involves a bright star (which provides on-axis wavefront sensing), and a pupil tracking mode to benefit for angular differential imaging (ADI), in combination with a coronagraph in the focal plane and broad band filters. ADI processing uses the field rotation while the stellar components (speckles) are nearly static.

A simulation must account for this observing mode to allow a realistic estimation of the contrast in the field around the on-axis star.

Basically, the simulation should provide a temporal sequence of wavefront errors (amplitude+phase: ElectroMagnetic (EM) field) to be interfaced with a coronagraph simulation.

The EM field arrays in the pupil plane upstream the coronagraph must account for AO correction of : the atmospheric turbulence, static aberrations, jitter, slowly evolving pattern (with a temporal spectrum). The characteristic timescale is the one of the slowly evolving pattern not the one of the atmospheric turbulence. Observing time should last at least 1 hour and rather 2h.

Take into account seeing evolution.



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Chromatic effects should be also included: propagation in the atmosphere, ADC, propagation in the instrument optics.

Parameter to optimize :

\* AO :

- type of wavefront sensor
- temporal bandwidth and loop optimization
- atmospheric conditions

\* Coronado :

- Type de coronado (phase, Lyot, apodized Lyot)
- IWA optimum vs OA residuals

**Analysis of the simulations :** *type d'analyse qu'il est prévu de faire sur les données simulées. Rappel : les outils d'analyse ne font pas partie du pipeline de simulation et restent à la charge de chaque Laboratory responsable des simulations associées à chaque concept d'instrument (WP 7). Donner une brève description de l'analyse prévue afin de comprendre quels sont les enjeux de chaque simulation. Préciser également s'il y a lieu comment les Paramètres instrumentaux à optimiser seront quantifiés à partir des simulations.*

Simulated EM fields will be used as input of a High contrast Imaging simulation which provides coronagraphic images at the detector level. Detection noises will be added. The ADI processing (various algorithm) will be performed. We will be able to compare the performance in terms of contrast after processing.

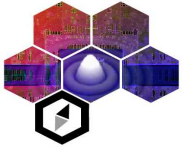
In a future development, the coronagraphic image simulation could also be done in COMPASS.

### Input parameter space

**Physical parameters:** *description de la nature (étoile, galaxie,...) des objets astrophysiques à simuler (sous forme de tableau si réponse multiple). On pourra préciser les données à haute résolution pouvant être utilisées en entrée des simulations si elles sont connues (penser que celles-ci doivent être de résolution spatiale/spectrale plus élevées que les données à simuler). Préciser ensuite les propriétés décrivant ces objets (taille sur le ciel, flux, vitesse, ...) sous forme de tableau. Indiquer l'intervalle de variation souhaité pour chaque Paramètre.*

| Objects to be simulated | Range/units                        | Comments                |
|-------------------------|------------------------------------|-------------------------|
| Star + planet           | Contrasts of $10^{-4}$ à $10^{-8}$ | Spectral type : A, G, M |
| Star + disk             | Contrasts of $10^{-4}$ à $10^{-8}$ |                         |

| Parameter        | Range/units      | Comments              |
|------------------|------------------|-----------------------|
| Separation       | 8 mas à 1 arcsec | Voir jusqu'à 3 arcsec |
| Flux de l'étoile | R=14 à 0         | Integrated flux       |



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|     |                |  |
|-----|----------------|--|
| FoV | 3 to 10 arcsec |  |
|-----|----------------|--|

**Observational parameters :** *description des conditions d'observation (téléscope, instrument, ciel). Indiquer sous forme de tableau l'intervalle de variation souhaité pour chaque Parameter (échantillonnage/résolution spatiale/spectrale, multiplex, transmission globale, domaine de longueur d'onde, ...). Ne pas indiquer les Parameters génériques non dimensionnant liés au télescope et à l'instrument n'ayant pas vocation à être variés (par exemple : diamètre de l'E-ELT, obturation centrale, courant d'obscurité, bruit de lecture,...), sauf si ceux-ci prennent des valeurs atypiques.*

| Parameter                | Range/units              | Comments                                                        |
|--------------------------|--------------------------|-----------------------------------------------------------------|
| Spatial sampling         | 2 pixels per resol elt   | Shannon                                                         |
| Domaine spectral         | 1.0 to 2.5 $\mu\text{m}$ | Start with H band ( $\lambda_0=1.6$ , R=5). Polychromatic image |
| Echantillonnage spectral | R=50                     | For spectroscopy (optionnal)                                    |

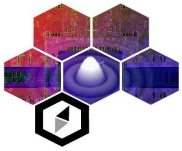
**AO parameters :** *description sous forme de tableau du type de correction souhaitée (métrique : SR, EE, taille du champ corrigé). Indiquer à quelles longueurs d'onde se réfèrent les spécifications.*

| Parameter                              | Range/units                      | Comments                               |
|----------------------------------------|----------------------------------|----------------------------------------|
| Contrast around star                   | Optimized on the correction zone | Spec. at $\lambda_{\text{spec}}$       |
| EM Field $\rightarrow \text{EM Field}$ | In pupil or focal plane : TBC    | Only for the star                      |
| Corrected FoV                          | All the corrected field          |                                        |
| $\lambda_{\text{spec}}$                | 1.6 $\mu\text{m}$                | H band (5 to 10 $\lambda$ in the band) |
| Speed of correction                    | Up to 2 to 3 kHz                 | $\rightarrow \text{C}$                 |
| Seeing                                 | Variable along the observation   |                                        |
| Segmented pupil                        |                                  |                                        |

### Output data

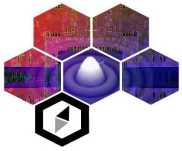
*Décrire sous forme de tableau le type de données que la simulation doit délivrer en sortie et qui serviront comme données d'analyse ou de test, par exemple : cube de donnée, image, spectre, quantités scalaires à estimer (niveau de bruit, S/N), données sans bruits et/ou avant dégradation de la résolution spatiale, etc. Indiquer si les données simulées correspondent à des données parfaitement réduites ou si des effets particuliers essentiels doivent être pris en compte (par exemple : réfraction atmosphérique différentielle, variation spatiale du fond de ciel, variation de la PSF dans le champ, mesure spécifique du fond de ciel avant soustraction,...).*

| Output data | Type | Comments |
|-------------|------|----------|
|-------------|------|----------|



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|                                                |                  |                                              |
|------------------------------------------------|------------------|----------------------------------------------|
| EM field temporal datacube for each wavelength | FITS 3D          | Data in focal plane (TBC) per wavelength     |
| AO parameters                                  | Scalar / keyword | Parameters defining the EM field computation |



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## Science Case

### Science Case : Study of the merger-starburst-agn connection in low-z galaxies

Instrument concept : ELT-CAM / ELT-IFU

Laboratory : LESIA

Deadline : late 2014

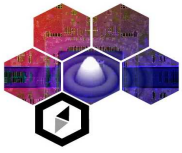
#### Summary of the Science Case (15-20 lignes max) :

Star formation that is associated with the encounter of two galaxies is particularly intense since it leads to a rate as large as 1000 times that of the Galaxy (1 Msol / year). These galaxies are called ultra-luminous in the infrared. Indeed, the dense dust associated with the concentration of gas that triggered the starburst, is heated efficiently and converts into the thermal radiation most of the stellar luminosity. The cocoon remains optically opaque during the first phase, so near-infrared bands are privileged to study this phenomenon. Star formation is concentrated in the form of compact but extremely bright stellar clusters which can contain up to the equivalent of 10,000 O7 star. Called SSC (Super Stellar Cluster) these clusters have no equivalent in the Galaxy. Understanding their characteristics is important because it is a key aspect of galaxies structural and chemical evolution. One must be able to detail the distribution in mass, in size, in stellar content, in brightness and in Super-Novae of these SSC in a significant sample, representative of ULIRGs so as to establish links and general laws. These objects are so distant that the use of high angular resolution is mandatory. These super star clusters (SSC) are rare and distant ( $d = 150$  Mpc) with a diameter smaller than the resolution achieved with adaptive optics on a telescope of 8 -10m. The resolution achievable with the E-ELT (10 mas, i.e. 8 pc 150 Mpc) will allow us to take a great leap for three types of programs:

- Characterize the starburst on objects five times more distant than our ongoing program on low-z mergers with 8-10m telescope
- Resolve the closest SSCs of our current program to address many questions such as : Schmidt law, filiation with globular clusters, spatial structure (IMF, HII regions, dust clustering etc.).
- Understand the links between the merger, the starburst and the presence of a central super-massive black hole.

## Description

**Goal of the simulations :** Our goal is to simulate imaging, 2D spectroscopy and 3D spectroscopic observations of a sample of interacting galaxies low redshift, harboring a starburst and possibly one or more active nuclei. The results will be analyzed in terms of optimal spatial resolution and sampling to be able to resolve SSC and central structures depending on the redshift of the objects. The galaxies are observed using broadband near IR imaging (1.65 to 2.5 $\mu$ m) and the emission lines of molecular (2.12 $\mu$ m) and ionized (2.17 $\mu$ m) hydrogen whose wavelength will be adapted to suit redshift. Instrumental choice (MCAO vs. LTAO, IFU vs imaging) will be analyzed in relation to these objectives.



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**Analysis of the simulations :** Concerning imaging observations, accurate photometry on every SSC is crucial for this study. These sources are rather faint ( $\sim 18$ th magnitude at H) and lie on the background of the host galaxie. To avoid any bias, photometry thus requires a perfect knowledge of the PSF to minimize the contribution of galactic background. The data will be analyzed using either PSF fitting or reconstructed PSF. The simulated data shall be used to test both approach and eventually strengthen the case for the development of an accurate PSF reconstruction method. The inhomogeneity of the PSF in the field is an important parameter. Spectroscopic observations : TBD !

### Input parameter space

#### Physical parameters:

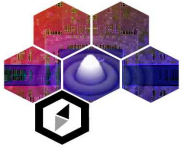
| Objects to be simulated | Range/units                | Comments                        |
|-------------------------|----------------------------|---------------------------------|
| Galaxies en fusion      | $D_{\text{prog}}=5-50$ kpc | Distance entre les progéniteurs |

| Parameter      | Range/units | Comments        |
|----------------|-------------|-----------------|
| Redshift       | $< 0.1$     |                 |
| SSC luminosity | $M_H = 18$  | Integrated flux |
| SSC diameter   | 10-50 pc    |                 |

We can use the data acquired by HST NICMOS on closer objects (such as the sample of Haan et al.) and the data of our current program as a reference to extrapolate on what will be obtained at a given (larger) redshift.

#### Observationnal parameters :

| Parameter                       | Range/units             | Comments                            |
|---------------------------------|-------------------------|-------------------------------------|
| FoV                             | 1x1 arcmin <sup>2</sup> | Imaging                             |
| FoV                             | 2x2 arcsec <sup>2</sup> | IFU                                 |
| Échantillonnage spatial         | 5 mas                   | Rectangular                         |
| DIT                             | 1-100s                  | Exposure time                       |
| NDIT                            | 1-40                    | Number of exposures                 |
| Transmission                    | 30 %                    | Atmosphere + telescope + instrument |
| Pouvoir de résolution spectrale | 4 000                   | R                                   |



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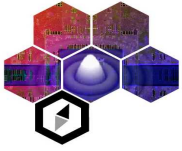
|                                 |   |         |
|---------------------------------|---|---------|
| Taux d'Échantillonnage spectral | 2 | Shannon |
|---------------------------------|---|---------|

### AO parameters :

| Parameter                   | Range/units               | Comments                             |
|-----------------------------|---------------------------|--------------------------------------|
| Lambda                      | 1.5-2.5 $\mu$ m           |                                      |
| Strehl ratio                | 50 % @2.2 $\mu$ m         | TBD                                  |
| Corrected FoV               | 80x80 arcsec <sup>2</sup> |                                      |
| Strehl variation in the FoV | < 10 %                    | TBD                                  |
| Impact of Na variations     | On Strehl                 | This program requires the use of LGS |

### Output data

| Output data                            | Type         | Comments                                                                                       |
|----------------------------------------|--------------|------------------------------------------------------------------------------------------------|
| Imaging data / Data cube (IFU)         | FITS 2D / 3D | Including noise and background                                                                 |
| Imaging data / Data cube without noise | FITS 2D / 3D | Identical but without noise                                                                    |
| Simulated sky and thermal background   | FITS 2D      |                                                                                                |
| Strehl map                             | FITS 2D      | Imaging only                                                                                   |
| PSF cube (spatial / spectral)          | FITS 3D      | PSF at various position in the FoV (imaging) and for various wavelength (IFU)                  |
| AO telemetry data                      | FITS 3D      | Data needed to reconstruct the PSF (slopes, commands, noise estimate, R <sub>0</sub> estimate) |



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## Science Case

**Science Case :** Galactic Center in SCAO mode  
**Instrument concept :** *ELT-CAM*

**Laboratory :** *LESIA*  
**Deadline :** *mi 2014*

**Summary of the Science Case (15-20 lignes max) :** Study of the environment and of the parameters of Sgr A\* to constrain the history of stellar formation around Sgr A\* and to constrain the flare process.

## Description

**Goal of the simulations :** *Detection of post-newtonian effects on S-stars already known and with the smallest peri-astron values, detection/follow-up of stars closer than the already known S stars to constrain Sgr A\* environment and parameters, study of the accretion zone around Sgr A\*. Instrumental parameters to be adjusted : mainly pixel size and spectral resolution. These simulations will make use of GYOTO (the code for computing orbits and raytraced images in General relativity) to compute to stars orbits and the raytraced images of these stars. Results from GYOTO will be coupled to an instrument model to derive the simulated observations.*

**Analysis of the simulations :** *Astrometric errors, line flux errors.*

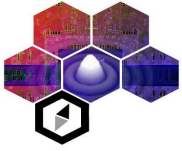
## Input parameter space

**Physical parameters:** Stellar orbits : stars in orbit around Sgr A\* and extended mass around Sgr A\* ; accretion zone around Sgr A\* : hot spot in orbit around Sgr A\*

| Parameter     | Range/units                                                | Comments |
|---------------|------------------------------------------------------------|----------|
| Apparent size | ponctual                                                   |          |
| Flux          | K from 17 to 22 for star<br>K>13 for the orbiting hot spot |          |

**Observationnal parameters :** *description des conditions d'observation (télescope, instrument, ciel). Indiquer sous forme de tableau l'intervalle de variation souhaité pour chaque Parameter (échantillonnage/résolution spatiale/spectrale, multiplex, transmission globale, domaine de longueur d'onde, ...). Ne pas indiquer les Parameters génériques non dimensionnant liés au télescope et à l'instrument n'ayant pas vocation à être variés (par exemple : diamètre de l'E-ELT, obturation centrale, courant d'obscurité, bruit de lecture,...), sauf si ceux-ci prennent des valeurs*





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atypiques.

| Parameter           | Range/units  | Comments |
|---------------------|--------------|----------|
| Spatial sampling    | 2 to 10 mas  |          |
| Spectral resolution | 2000 to 5000 |          |

**AO parameters :** *description sous forme de tableau du type de correction souhaitée (métrique : SR, EE, taille du champ corrigé). Indiquer à quelles longueurs d'onde se réfèrent les spécifications.*

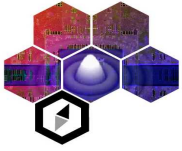
| Parameter               | Range/units                 | Comments      |
|-------------------------|-----------------------------|---------------|
| FoV corrigé             | Qq x qq arcsec <sup>2</sup> |               |
| $\lambda_{\text{spec}}$ | 1.6 et 2.2 $\mu\text{m}$ ,  | Bandes H et K |

The AO simu should ideally account for the different E-ELT characteristics : M4 actuator geometry, telescope windshake residuals. Ideally, it should also allow one to account for a Kalman filter control law and a pyramid WFS. The reference source, in the visible, is  $\sim 15$  arcsec away from the science target.

### Output data

*Décrire sous forme de tableau le type de données que la simulation doit délivrer en sortie et qui serviront comme données d'analyse ou de test, par exemple : cube de donnée, image, spectre, quantités scalaires à estimer (niveau de bruit, S/N), données sans bruits et/ou avant dégradation de la résolution spatiale, etc. Indiquer si les données simulées correspondent à des données parfaitement réduites ou si des effets particuliers essentiels doivent être pris en compte (par exemple : réfraction atmosphérique différentielle, variation spatiale du fond de ciel, variation de la PSF dans le champ, mesure spécifique du fond de ciel avant soustraction,...).*

| Output data               | Type    | Comments                                            |
|---------------------------|---------|-----------------------------------------------------|
| Cube de donnée            | FITS 3D | Inclus bruit, et soustrait du ciel/fond thermique   |
| Cube de donnée sans bruit | FITS 3D | Identique au précédent mais sans bruit (pour tests) |



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## Science Case

**Science Case :** *The close environment of evolved stars*  
**Instrument concept :** *ELT-CAM / ELT-IFU / ELT-PF*

**Laboratory :** *LESIA*  
**Deadline :** *2014-2015*

### Summary of the Science Case (15-20 lignes max) :

*Evolved stars are the main contributors to the enrichment of heavy elements in the interstellar medium, and more generally play a central role in the chemical evolution of the Universe. Their close environment, at the interface between the star itself and the interstellar medium, is the place where most of the chemical evolution of the ejected material takes place. From the formation of molecules to the condensation of dust particles, these phenomena are concentrated in a relatively small volume located between 1 and ~100 stellar radii. The high angular resolution provided by the ELT will be a major asset to study the environment of nearby evolved stars, either giant (e.g. Mira, VY CMa, Gamma Cru, R Dor, L2 Pup...) or supergiant (e.g. Betelgeuse, Antares,...).*

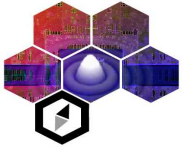
## Description

**Goal of the simulations :** *décrire le type d'observations à simuler (images, spectres 1D, cubes de données) et quel(s) Parameter(s) lié(s) au design de l'instrument doit(vent) être optimisé(s) in fine. On décrira aussi rapidement quel est la structure spatiale/spectrale que l'on cherchera à détecter dans les simulations (par ex. : raie en émission/absorption).*

*The simulations should produce images and data cubes. The starting images will be provided e.g. by 3D hydro models, and will include surface features, typically convective cells at the surface of red supergiants (see e.g. simulations by Chiavassa et al. 2011). These structures will be partly resolved by the ELT for the nearest targets (e.g. Betelgeuse, Antares, R Dor,...). The input images should also include gaseous/dusty features in the close environment of the stars. The presence of different molecular species, and the changing temperature results in the formation of emission lines at various distances from the star. In addition, dust is known to form relatively close to these stars (depending in particular on their effective temperature), and it should be included in the simulations. Dust is particularly apparent in the thermal infrared domain.*

**Analysis of the simulations :** *type d'analyse qu'il est prévu de faire sur les données simulées. Rappel : les outils d'analyse ne font pas partie du pipeline de simulation et restent à la charge de chaque Laboratory responsable des simulations associées à chaque concept d'instrument (WP 7). Donner une brève description de l'analyse prévue afin de comprendre quels sont les enjeux de chaque simulation. Préciser également s'il y a lieu comment les Parameters instrumentaux à optimiser seront quantifiés à partir des simulations.*

*The simulated data will be analyzed to determine the level of details that will be achievable in the*



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*characterization of the envelopes (chemical composition, physical conditions) and the surface features (spatial distribution of convective cells, contrast of spots and other features,...). This analysis will allow us to determine which model parameters will be efficiently constrained by the ELT observations, and determine a coherent observing strategy with existing or foreseen interferometric facilities (e.g. VLTI/GRAVITY) operating at higher spatial frequencies. It is important to notice that the foreseen observations will be conducted on very bright targets, for which the photosphere will be resolved, hence with an extremely high surface brightness on the instrument detector. The produced simulations should therefore help define the type of attenuation that will be necessary for the wavefront sensors and the science instrument detector.*

### Input parameter space

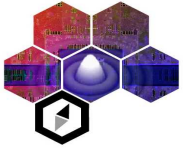
**Physical parameters:** *description de la nature (étoile, galaxie,...) des objets astrophysiques à simuler (sous forme de tableau si réponse multiple). On pourra préciser les données à haute résolution pouvant être utilisées en entrée des simulations si elles sont connues (penser que celles-ci doivent être de résolution spatiale/spectrale plus élevées que les données à simuler). Préciser ensuite les propriétés décrivant ces objets (taille sur le ciel, flux, vitesse, ...) sous forme de tableau. Indiquer l'intervalle de variation souhaité pour chaque Parameter.*

| Objects to be simulated | Range/units                                                                   | Comments                                                                |
|-------------------------|-------------------------------------------------------------------------------|-------------------------------------------------------------------------|
| Star                    | Giants and supergiants                                                        | Surface features from 3D hydro simulations                              |
| Circumstellar envelope  | Extension : from 1 to ~100 R*<br>Surface brightness : 10% to 0.1% of the star | Envelope features extrapolated e.g. from VLT/NACO or VISIR observations |

| Parameter            | Range/units             | Comments               |
|----------------------|-------------------------|------------------------|
| Extension on the sky | milliarcseconds         | From ~10 to ~ 1000 mas |
| Surface brightness   | W/m <sup>2</sup> /μm/sr | For star and envelope  |

**Observational parameters :** *description des conditions d'observation (téléscope, instrument, ciel). Indiquer sous forme de tableau l'intervalle de variation souhaité pour chaque Parameter (échantillonnage/résolution spatiale/spectrale, multiplex, transmission globale, domaine de longueur d'onde, ...). Ne pas indiquer les Parameters génériques non dimensionnant liés au télescope et à l'instrument n'ayant pas vocation à être variés (par exemple : diamètre de l'E-ELT, obturation centrale, courant d'obscurité, bruit de lecture,...), sauf si ceux-ci prennent des valeurs atypiques.*

| Parameter | Range/units | Comments |
|-----------|-------------|----------|
|-----------|-------------|----------|



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|                     |                        |                     |
|---------------------|------------------------|---------------------|
| Wavelength          | 0.5 – 20 $\mu\text{m}$ | V to Q bands        |
| Spectral resolution | 500 - 50000            | For spectro-imaging |
| Spatial sampling    | 3 – 5 mas              | > Shannon           |
| Spectral sampling   | 2                      | = Shannon           |

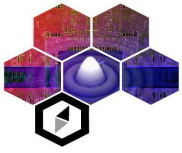
**AO parameters :** *description sous forme de tableau du type de correction souhaitée (métrique : SR, EE, taille du champ corrigé). Indiquer à quelles longueurs d'onde se réfèrent les spécifications.*

| Parameter               | Range/units                 | Comments                                    |
|-------------------------|-----------------------------|---------------------------------------------|
| Encircled energy        | ?                           | As high as possible depending on wavelength |
| Corrected FoV           | 3x3 arcsec <sup>2</sup> (?) | The central star will be the WFS reference  |
| $\lambda_{\text{spec}}$ | 0.5 - 20 $\mu\text{m}$      | V to Q bands                                |

### Output data

*Décrire sous forme de tableau le type de données que la simulation doit délivrer en sortie et qui serviront comme données d'analyse ou de test, par exemple : cube de donnée, image, spectre, quantités scalaires à estimer (niveau de bruit, S/N), données sans bruits et/ou avant dégradation de la résolution spatiale, etc. Indiquer si les données simulées correspondent à des données parfaitement réduites ou si des effets particuliers essentiels doivent être pris en compte (par exemple : réfraction atmosphérique différentielle, variation spatiale du fond de ciel, variation de la PSF dans le champ, mesure spécifique du fond de ciel avant soustraction,...).*

| Output data              | Type    | Comments                                   |
|--------------------------|---------|--------------------------------------------|
| Data cubes with noise    | FITS 3D | Including noise sources and sky background |
| Data cubes without noise | FITS 3D | Data cubes without instrument noise        |
| Sky background           | FITS 2D | Sky background with noise                  |



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## Science Case

**Science Case :** Characterization of exoplanets from Jupiter down to earth-masses.

**Instrument concept :** *ELT-PCS*

**Laboratory :** *IPAG*

**Deadline :** *mid-2015*

**Summary of the Science Case (15-20 lignes max) :** Most of the nearby Exoplanets will be detected by the radial velocity (down to Earth mass in the HZ for late-type stars and higher mass planets at larger separations up to a few AU) or astrometric techniques (giant planets up to about 5 AU) in the next decade. The information about these objects is however limited to mass and orbit (with  $\sin i$  uncertainty in the case of RV), so it will not be possible to unambiguously demonstrate whether a planet is rocky or whether it resembles Neptune or even a gas giant. Only direct imaging will allow us to characterize the planets and their atmospheres, learn about cloud cover and weather patterns, and ultimately decide whether they may harbor life. The most currently most appealing case is the Earth-size planet orbiting Alpha Centauri B. This planet is seen at an angular separation of 30 mas and a contrast of  $10^{-7}$ , well within the expected capabilities of PCS.

*décrire succinctement la problématique du Science Case ainsi que ses objectifs et les quantités mesurées/estimées.*

## Description

**Goal of the simulations :** The objective is to combine eXtreme Adaptive optics, coronagraphy and dedicated high contrast instrument to estimate the performance of exoplanet detection with ELT-PCS. The simulation should provide a temporal sequence of Electromagnetic (EM) Fields in pupil focal planes and for a set of wave-lengths. The EM fields will then be used to simulate dedicated detection methods (example : IFS, EPOL, Self-Coherent Camera).

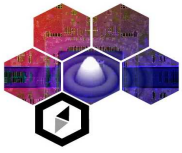
The output EM fields must take into account various features upstream the coronagraph (which may be part of COMPASS module.)

- quasistatic aberrations
- chromatic aberrations (including ADC residuals and propagation through the atmosphere)
- possibly Fresnel effects due to out-of-pupil optics .
- possibly polarimetric instrumental effects

Parameter to optimize :

- XAO type (, number of actuators, frame rate, single /double stage, WFS type, fast control, etc)
- Coronagraph type (may not be part of compass module)

*décrire le type d'observations à simuler (images, spectres 1D, cubes de données) et quel(s) Parameter(s) lié(s) au design de l'instrument doit(vent) être optimisé(s) in fine. On décrira aussi rapidement quel est la structure spatiale/spectrale que l'on cherchera à détecter dans les*



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*simulations (par ex. : raie en émission/absorption).*

**Analysis of the simulations :** *type d'analyse qu'il est prévu de faire sur les données simulées. Rappel : les outils d'analyse ne font pas partie du pipeline de simulation et restent à la charge de chaque Laboratory responsable des simulations associées à chaque concept d'instrument (WP 7). Donner une brève description de l'analyse prévue afin de comprendre quels sont les enjeux de chaque simulation. Préciser également s'il y a lieu comment les Parameters instrumentaux à optimiser seront quantifiés à partir des simulations.*

The Analysis of the simulations simulations will be intimately linked to the kind of detection method implemented.

### Input parameter space

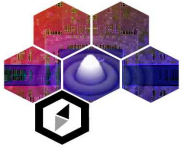
**Physical parameters:** *description de la nature (étoile, galaxie,...) des objets astrophysiques à simuler (sous forme de tableau si réponse multiple). On pourra préciser les données à haute résolution pouvant être utilisées en entrée des simulations si elles sont connues (penser que celles-ci doivent être de résolution spatiale/spectrale plus élevées que les données à simuler). Préciser ensuite les propriétés décrivant ces objets (taille sur le ciel, flux, vitesse, ...) sous forme de tableau. Indiquer l'intervalle de variation souhaité pour chaque Parameter.*

| Objects to be simulated | Range/units                     | Comments |
|-------------------------|---------------------------------|----------|
| Star + Planet           | Contrast $10^{-6}$ to $10^{-9}$ | GKM      |
| Star + Disk             |                                 |          |

| Parameter  | Range/units      | Comments        |
|------------|------------------|-----------------|
| Separation | 8mas to 1 arcsec |                 |
| Flux       | R=9 to 0         | Integrated flux |

**Observational parameters :** *description des conditions d'observation (télescope, instrument, ciel). Indiquer sous forme de tableau l'intervalle de variation souhaité pour chaque Parameter (échantillonnage/résolution spatiale/spectrale, multiplex, transmission globale, domaine de longueur d'onde, ...). Ne pas indiquer les Parameters génériques non dimensionnant liés au télescope et à l'instrument n'ayant pas vocation à être variés (par exemple : diamètre de l'E-ELT, obturation centrale, courant d'obscurité, bruit de lecture,...), sauf si ceux-ci prennent des valeurs atypiques.*

| Parameter        | Range/units            | Comments |
|------------------|------------------------|----------|
| Spatial sampling | 2 pixels per resol elt | Shannon  |



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|                          |                         |                              |
|--------------------------|-------------------------|------------------------------|
| Domaine spectral         | 600-900nm<br>950-1650nm | VIS and/or NIR data          |
| Echantillonnage spectral | R=50 (NIR)              | For spectroscopy (optionnal) |

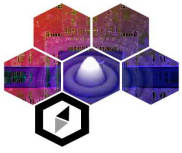
**AO parameters :** *description sous forme de tableau du type de correction souhaitée (métrique : SR, EE, taille du champ corrigé). Indiquer à quelles longueurs d'onde se réfèrent les spécifications.*

| Parameter                        | Range/units                      | Comments                               |
|----------------------------------|----------------------------------|----------------------------------------|
| Contrast around star             | Optimized on the correction zone | Spec. at $\lambda_{\text{spec}}$       |
| EM Field $\lambda_{\text{spec}}$ | In pupil or focal plane : TBC    | Only for the star                      |
| Corrected FoV                    | All the corrected field          |                                        |
| $\lambda_{\text{spec}}$          | 1.6 $\mu\text{m}$                | H band (5 to 10 $\lambda$ in the band) |
| Speed of correction              | Up to 2 to 3 kHz                 | $\rightarrow \text{C}$                 |
| Seeing                           | Variable along the observation   |                                        |
| Segmented pupil                  |                                  |                                        |

### Output data

*Décrire sous forme de tableau le type de données que la simulation doit délivrer en sortie et qui serviront comme données d'analyse ou de test, par exemple : cube de donnée, image, spectre, quantités scalaires à estimer (niveau de bruit, S/N), données sans bruits et/ou avant dégradation de la résolution spatiale, etc. Indiquer si les données simulées correspondent à des données parfaitement réduites ou si des effets particuliers essentiels doivent être pris en compte (par exemple : réfraction atmosphérique différentielle, variation spatiale du fond de ciel, variation de la PSF dans le champ, mesure spécifique du fond de ciel avant soustraction,...).*

| Output data                                    | Type             | Comments                                     |
|------------------------------------------------|------------------|----------------------------------------------|
| EM field temporal datacube for each wavelength | FITS 3D          | Data in focal plane (TBC) per wavelength     |
| AO parameters                                  | Scalar / keyword | Parameters defining the EM field computation |



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## Science Case

**Science case:** High resolution imaging of minor planets and satellites

**Instrument concept:** ELT-CAM

**Laboratory:** LESIA

**Deadline:** mid 2015

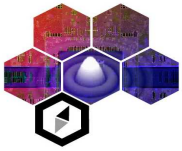
**Summary of the science case:** There are two main science goals of high resolution images with E-ELT class telescope of minor planets and satellites. The first one is the study of the physical properties of these small bodies (spin, size, and shape). Indeed, even if it is possible to determine the physical properties of close asteroids from the analysis radar echo since one decade, direct disk-resolved imaging is the best technic to provide very accurate measures of their physical parameters. The second one is the study of the multiplicity. From the study of the companion orbit, the mass can be obtained, and once combined with the volume estimate, this yields the bulk density of the minor planet, a key parameter to infer its composition and internal structure. The angular resolution provided by MICADO/ELT will open several classes of targets to these studies (i.e: small satellites of the giant planets, Centaurs, Trans-Neptunians Objects and medium size asteroids of the main belt). In addition to these two main goals, disk-resolved imaging obtained with different filters will provide us color maps able to reveal the presence of organic or ice patches and investigate possible surface and/or internal heterogeneities with the previous physical informations. As a matter of example, only 11 over the 24 classes composing the asteroid classification have density estimates. As a result, only 12 classes have mineralogical interpretation, which is the ultimate goal to understand the distribution of elements in the primordial nebulae out of which the planets formed.

## Description

**Goal of the simulations:** The goal is to simulate broad and narrow band high resolution images of a sample of minor planets and satellites of the solar system. The first goal is to evaluate the possibility to extract the physical properties of the objects (and possibly of its satellites if any) using the motion of resolved surface areas from broad band filters. In this topic, this simulation will put some limitations on the magnitude (as well as the distance/brightness of the NGS and the apparent motion of the target in case of faint objects for differential tracking) and the rotation speed of the targets and its satellite as well the relative contrast of the patches. The second goal is to constrain the chemical properties from multi-colour maps. In this purpose, the simulation will extend the previous work considering broad+narrow band filters for typical colour of chemical compounds (from J-H, H-K, IB\_2.24-IB\_2.03 and IB\_2.24-IB\_2.33).

**Analysis of the simulations:** We want to compare the signal to noise ratio obtained for different observing modes and exposure time as a function of the target magnitude and heterogeneity. This simulation should also give us information on the AO performance (NGS with or without differential tracking or LGS).





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## Input parameter space

### Physical parameters :

Objects to be simulated:

Class | Angular size | Magnitude | Colour | App. Motion | Rot. Speed

Near Earth asteroids | up to 0.02'' | mV=15-20 | V-K=1.5 | 10-250''/h | a few minutes

Asteroids | 0.01 to 0.4'' | mV=0-17 | V-K=1.5 | 10-60''/h | 0.5-5 hours

Comet nucleii | 0.01'' | mV up to 20 | V-K=1.5 | 10-150''/h | a few hours

Satellites | up to 1.5'' | mV=5-19 | V-K=1.5 | 2-10''/h | a few hours to a few days

Centaur and TNOs | <0.1'' | mV=17-22 | V-K=1.5 to 3.0 | 0-10''/h | a few hours

Remarque: The surface heterogeneity should be simulated using the better spatial resolution of the instrument and considering a contrast in the [5-50]% range between the areas in case of monocolour map and a variation of colour in the [5-20]% range in case of color map. A map of Pluto could be used for the typical case of bright TNOs and icy satellites.

### Observational parameters:

Filters: broad band - J, H, Ks and narrow band - similar to IB\_2.03, IB\_2.24, IB\_2.33 (to search for water and methane ices and organics)

DIT: 30-600s

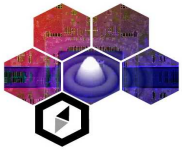
NDIT: 2-30 (depending on the rotation speed, up to 5 hours for very slow rotator)

**AO parameters:** SCAO for small objects with AO reference on the moving target itself, or on a close-by static NGS if targets are too faints with available differential tracking.

## Output data

2D fits images of : Background – Noise – Signal (Noise and background subtracted)

3D fits images for color map = composed of N\*2D fits images, with N the number of used filters.



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### Science case

**Science case:** High signal to noise spectra of unresolved minor planets and satellites  
**Instrument concept:** ELT-LSS

**Laboratory:** LESIA  
**Deadline:** mid 2015

**Summary of the science case:** In addition to resolve the surface of small bodies, discover new multiple systems and constrain their orbit and their internal bulk density, spectroscopy is the best tool to investigate in details the properties of the surface, as well as those of the atmosphere, of the minor planets and satellites. Still limited to the major chemical compounds present on the surface or in the thin atmosphere of these bodies, MICADO, mounted on the future E-ELT, will provide us sufficient photons and spectral resolution to discover minor species and follow the possible temporal variations on unresolved objects. The combination of knowledge of the physical and chemical properties of the surface on one hand and constraints of the bulk density of these objects on the other hand is mandatory to fully constrain their internal structure and give us information of the processes acting on the formation and evolution of the solar system.

### Description

**Goal of the simulations:** The goal is to simulate reflectance spectra of minor planets and satellites of the solar system. The goal is to evaluate the signal to noise level of spectra obtained from unresolved targets in order to extract the chemical composition from the visible to the near infrared range. In this topic, this simulation will put some limitations on the magnitude (as well as the distance/brightness of the NGS and the apparent motion of the target in case of faint objects for differential tracking) and the rotation speed of the targets.

**Analysis of the simulations:** We want to compare the signal to noise ratio obtained for different observing modes as a function of the target magnitude and its chemical composition, as well as the AO performance (NGS with or without differential tracking or LGS). The limiting sensitivity obtained with different exposure time in each mode will be estimated to determine the best observing strategy for slow and fast rotators.

### Input parameter space

#### Physical parameters :

Objects to be simulated:

Class | Angular size | Magnitude | Colour | App. Motion | Rot. Speed

Near Earth asteroids | up to 0.02'' | mV=15-20 | V-K=1.5 | 10-250''/h | a few minutes

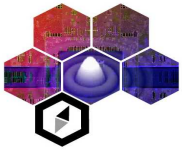
Asteroids | 0.01 to 0.4'' | mV=0-17 | V-K=1.5 | 10-60''/h | 0.5-5 hours

Comet nuclei | 0.01'' | mV up to 20 | V-K=1.5 | 10-150''/h | a few hours

Satellites | up to 1.5'' | mV=5-19 | V-K=1.5 | 2-10''/h | a few hours to a few days

Centaur and TNOs | <0.1'' | mV=17-22 | V-K=1.5 to 3.0 | 0-10''/h | a few hours

Remark: A few possible spectra of different objects including CH<sub>4</sub>, H<sub>2</sub>O, minerals or dark component-rich surface will be given in input.



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**Observational parameters:**

Wavelength Range: J, H, Ks, L and M

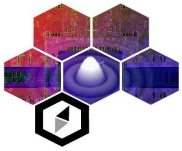
DIT: 30-600s

NDIT: 2-30 (depending on the rotation speed, up to 5 hours for very slow rotator)

**AO parameters:** SCAO for small objects with AO reference on the moving target itself, or on a close-by static NGS if targets are too faints with available differential tracking .

**Output data**

2D spectra of point sources: Background – Noise – Signal (Noise and background subtracted).



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### Science case

**Science case:** High signal to noise IFU spectra of resolved minor planets and satellites  
**Instrument concept:** ELT-IFU

**Laboratory:** LESIA  
**Deadline:** mid 2015

**Summary of the science case:** In addition to resolve the surface of small bodies, discover new multiple systems and constrain their orbit and their internal bulk density, spectroscopy is the best tool to investigate in details the properties of the surface, as well as those of the atmosphere, of the minor planets and satellites. Still limited to the major chemical compounds present on the surface or in the thin atmosphere of these bodies, HARMONI, mounted on the future E-ELT, will provide us sufficient photons and spectral resolution to discover minor species and follow the possible temporal variations on spatially resolved objects. The combination of knowledge of the physical and chemical properties of the surface on one hand and constraints of the bulk density of these objects on the other hand is mandatory to fully constrain their internal structure and give us information of the processes acting on the formation and evolution of the solar system.

### Description

**Goal of the simulations:** The goal is to simulate reflectance spectra of minor planets and satellites of the solar system. The goal is to evaluate the signal to noise level of spectra obtained from resolved targets in order to extract the chemical composition from the visible to the near infrared range. In this topic, this simulation will put some limitations on the magnitude (as well as the distance/brightness of the NGS and the apparent motion of the target in case of faint objects for differential tracking) and the rotation speed of the targets.

**Analysis of the simulations:** We want to compare the signal to noise ratio obtained for different observing modes as a function of the target magnitude and its chemical composition, as well as the AO performance (NGS with or without differential tracking or LGS). The limiting sensitivity obtained with different exposure time in each mode will be estimated to determine the best observing strategy for slow and fast rotators.

### Input parameter space

#### Physical parameters :

Objects to be simulated:

Class | Angular size | Magnitude | Colour | App. Motion | Rot. Speed

Near Earth asteroids | up to 0.02'' | mV=15-20 | V-K=1.5 | 10-250''/h | a few minutes

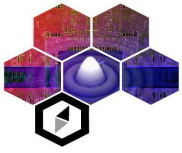
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Satellites | up to 1.5'' | mV=5-19 | V-K=1.5 | 2-10''/h | a few hours to a few days

Centaur and TNOs | <0.1'' | mV=17-22 | V-K=1.5 to 3.0 | 0-10''/h | a few hours

Remark: A few possible spectra of different objects including CH<sub>4</sub>, H<sub>2</sub>O, minerals or dark component-rich surface will be given in input.



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**Observational parameters:**

Wavelength Range: J, H, Ks, L and M

DIT: 30-600s

NDIT: 2-30 (depending on the rotation speed, up to 5 hours for very slow rotator)

**AO parameters:** SCAO for small objects with AO reference on the moving target itself, or on a close-by static NGS if targets are too faints with available differential tracking.

**Output data**

3D spectra of resolved sources: Background – Noise – Signal (Noise and background subtracted).